

Caltech: F-theory at 20

# Abelian and Discrete Symmetries in Heterotic/F-theory Duality

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Based on:

M.C., A. Grassi, D. Klevers, M. Poretschkin and P. Song,  
“Origin of Abelian Gauge Symmetries in Heterotic/F-theory Duality,”  
arXiv:1511.08208 [hep-th]

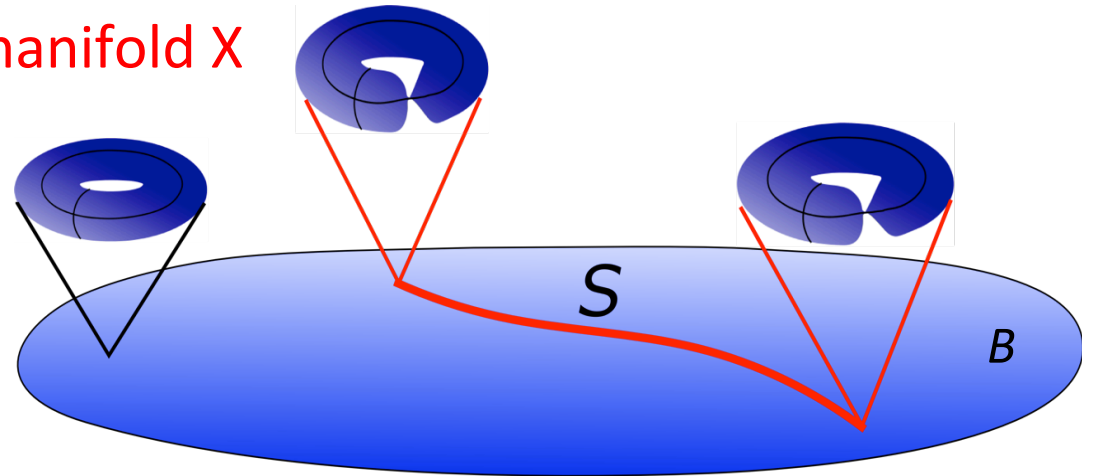
& work in progress with  
A. Grassi and M. Poretschkin

# Outline and Summary

- **F-theory with  $U(1)^n$  Abelian Gauge Symmetry:**  
Elliptically fibered Calabi-Yau manifolds with Mordell-Weil (MW) rank  $n$   
(brief status summary)  $\rightarrow$  focus on rank 1  $\leftrightarrow U(1)$
- **Heterotic/F-theory Duality:**  
Stable degeneration limit  $\rightarrow$  focus on 8D/6D  
Employ toric geometry techniques
- **Examples with MW rank 1:**  
Highlight three cases with split vector bundle structure groups:  
I.  $S(U(N-1) \times U(1))$       IIb.  $SU(N(n-1) \times \mathbb{Z}_2)$       IIc.  $SU(N) \times SU(M)$
- **Heterotic/F-theory Duality & Discrete Symmetry**  
Example with  $S(U(2) \times U(1))$  vector bundle on double base cover  
time permitting - work in progress
- **Outlook**

# F-theory Compactification

Elliptically fibered Calabi-Yau manifold  $X$



Weierstrass normal form for elliptic fibration of  $X$

$$y^2 = x^3 + fxz^4 + gz^6$$

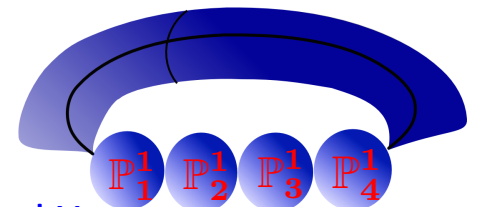
$$[z:x:y] \text{ of } \mathbb{P}^2(1, 2, 3)$$

[Kodaira; Tate; Vafa; Morrison, Vafa;...]

Non-Abelian gauge symmetries

determined by severity of singularity along divisor  $S$  in  $B$  [ $ord_S(f), ord_S(g), ord_S(\Delta)$ ]

resolved  $[I_n]$  singularity  $\leftrightarrow [SU(n)]$  Dynkin diagram



Cartan gauge bosons, supported by (1,1)-forms  $\omega_i \leftrightarrow \mathbb{P}_i^1$  on resolved  $X$

# Abelian Gauge Symmetries

Different: (1,1) forms  $\omega_m$ , supporting U(1) gauge bosons, isolated  
& associated with  $I_1$ -fibers, only

[Morrison, Vafa]

(1,1) - form  $\omega_m$   rational section of elliptic fibration

# Abelian Gauge Symmetry & Mordell-Weil Group

rational sections of elliptic fibr.  $\rightarrow$  rational points of elliptic curve

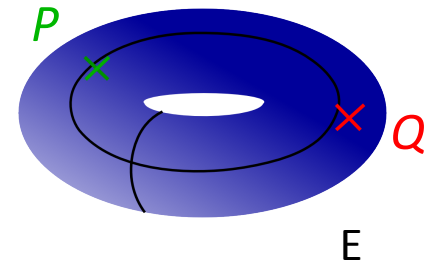
1. Rational point  $Q$  on elliptic curve  $E$  with zero point  $P$

$$[x_p:y_p:z_p] = [1:1:0]$$

- is solution  $(x_Q, y_Q, z_Q)$  in field  $K$  of Weierstrass form

$$y^2 = x^3 + fxz^4 + gz^6$$

- Rational points form group (addition) on  $E$

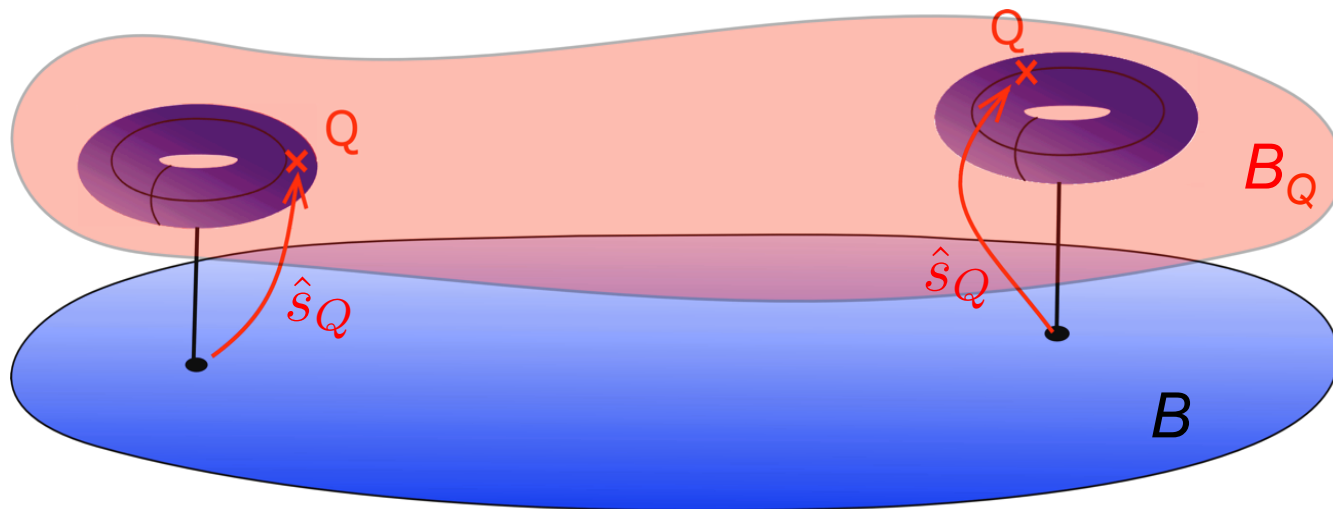


Mordell-Weil group of rational points

# U(1)'s-Abelian Symmetry & Mordell-Weil Group

Rational point

$Q$  induces a rational section  $\hat{s}_Q : B \rightarrow X$  of elliptic fibration



➔  $\hat{s}_Q$  gives rise to a second copy of  $B$  in  $X$ :

new divisor  $B_Q$  in  $X$

➔ (1,1)-form  $\omega_m$  constructed from divisor  $B_Q$  (Shioda map)

indeed (1,1) - form  $\omega_m$  ↔ rational section

# Explicit constructions with zero & n-rational sections [MW $\text{rnk } n - U(1)^n$ ]

Employ for study of Heterotic/F-theory duality

$n=0$ : with  $P$  - generic CY in  $\mathbb{P}^2(1, 2, 3)$  (Tate form)

$n=1$ : with  $P, Q$  - generic CY in  $\text{Bl}_1\mathbb{P}^2(1, 1, 2)$  [Morrison, Park'12]

$n=2$ : with  $P, Q, R$  - specific example: generic CY in  $dP_2$

[Borchmann, Mayerhofer, Palti, Weigand'13]

[M.C., Klevers, Piragua 1303.6970, 1307.6425]

[M.C., Grassi, Klevers, Piragua 1306.0236]

generalization: non-generic cubic in  $\mathbb{P}^2[u : v : w]$

non-Abelian enhancement (unHiggsing) by merging rational points

[M.C., Klevers, Piragua, Taylor 1507.05954]

c.f., D. Klevers's talk

$n=3$ : with  $P, Q, R, S$  - CICY in  $\text{Bl}_3\mathbb{P}^3$  [M.C., Klevers, Piragua, Song 1310.0463]

$n=4$  determinantal variety in  $\mathbb{P}^4, \dots$

higher  $n$ , not clear...



# Heterotic/F-Theory Duality

[Morrison, Vafa '96; Friedman, Morgan, Witten '97]

Manifest in stable degeneration limit

Basic Duality (8D):

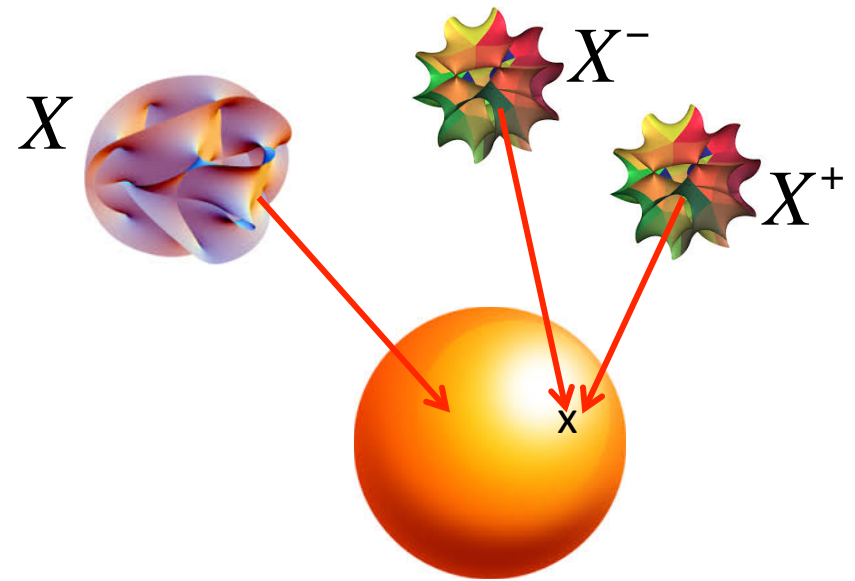
Heterotic  $E_8 \times E_8$  String on  $T^2$

↕ dual to

F-Theory on elliptically fibered  
K3 surface  $X$

Stable degeneration limit:

K3 surface  $X$  splits into  
two half-K3 surfaces  $X^+$  and  $X^-$



Dictionary:

- $X^+$  and  $X^- \rightarrow$  background bundles  $V_1$  and  $V_2$
- Heterotic gauge group  $G = G_1 \times G_2$      $G_i = [E_8, V_i]$
- The Heterotic geometry  $T^2$  : at intersection of  $X^+$  and  $X^-$

K3-fibration over  $P^1$  (moduli)

# Toric Construction of K3 Surfaces (with MW rnk 1) & Stable Degeneration Limit

c.f., A. Grassi's talk

- Construct K3 surface as section of  $O(-K_{P^1 \times Bl_1 P^{(1,1,2)}})$

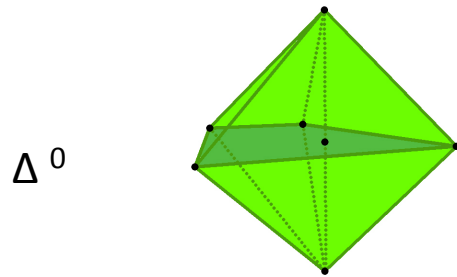
$$\begin{aligned} \chi : & s_1 x_1^4 x_4^3 x_5^2 + s_2 x_1^3 x_2 x_4^2 x_5^2 + s_3 x_1^2 x_2^2 x_4 x_5 + s_4 x_1 x_2^3 x_5^2 \\ & + s_5 x_1^2 x_3 x_4^2 x_5 + s_6 x_1 x_2 x_3 x_4 x_5 + s_7 x_2^2 x_3 x_5 + s_8 x_3^2 x_4 = 0 \end{aligned}$$

$$s_i = s_{i1}U^2 + s_{i2}UV + s_{i3}V^2$$

$$[U : V] \in P^1$$

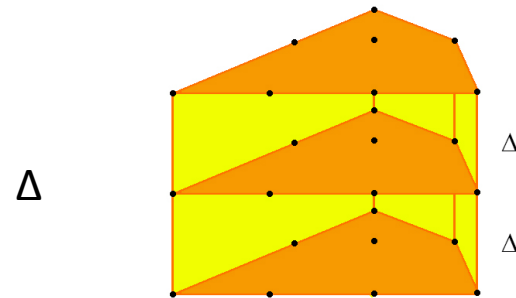
$$[x_1, x_2, x_3, x_4, x_5] \in Bl_1 P^{(1,1,2)}$$

Toric polytope:



specifies the ambient space  $P^1 \times Bl_1 P^{(1,1,2)}$

Dual polytope:



specifies the elements of  $O(-K_{P^1 \times Bl_1 P^{(1,1,2)}})$

- Six-dimensional set-up: fiber this construction over another  $P^1$

# Decomposing the F-Theory Geometry

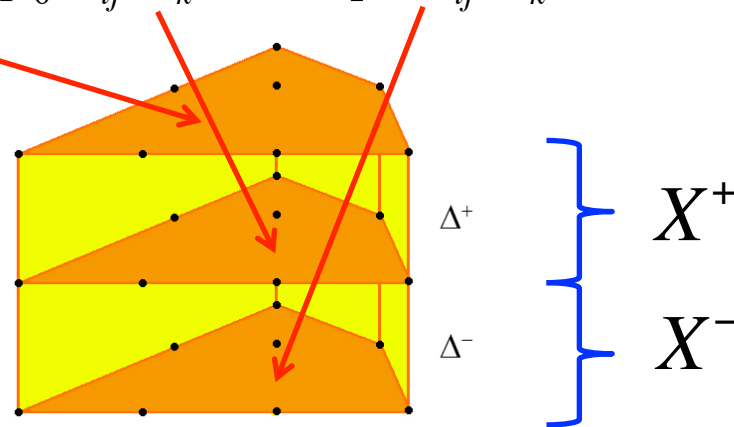
[Morrison, Vafa '96], [Berglund, Mayr '98]

$$\chi : p^+(s_{ij}, x_k)U^2 + p_0(s_{ij}, x_k)UV + p^-(s_{ij}, x_k)V^2$$

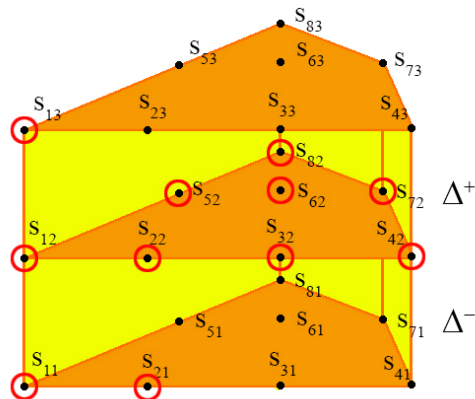
$p^+$  specifies spectral cover  $C^+$

$p_0$  specifies elliptic curve  $E$

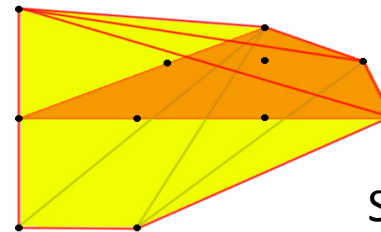
$p^-$  specifies spectral cover  $C^-$



- Spectral cover defines (together with a line bundle) a  $SU(N)$  vector bundle on  $E$
- Specialize to large gauge groups to keep spectral cover under control [N-small]



Example of specialization to  $E_7 \times E_6 \times U(1)$  gauge symmetry



Specialization corresponds to change of ambient space

# Split Spectral Covers (6D)

[Friedman, Morgan, Witten '97], [Donagi '97], [Berglund, Mayr '98]

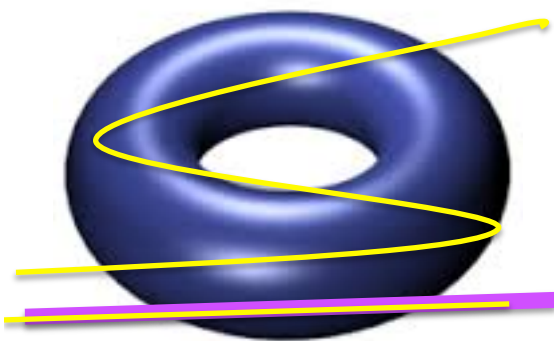
The isomorphism class of an  $SU(N)$  vector bundle on an elliptically fibered K3 surface

$$y^2 = x^3 + fx + g \quad f \in \mathcal{O}_{P^1}(8), g \in \mathcal{O}_{P^1}(12)$$

Spectral cover  $C$ :

$$w = c_0 + c_1x + c_2y + c_3x^2 + \dots + \begin{cases} c_N x^{\frac{N}{2}}; N - \text{even} \\ c_N x^{\frac{N-3}{2}} y; N - \text{odd} \end{cases}$$

Arbitrary line bundle on  $P^1$   
 $\downarrow$   
 $c_i \in M \otimes \mathcal{O}_{P^1}(2i)$

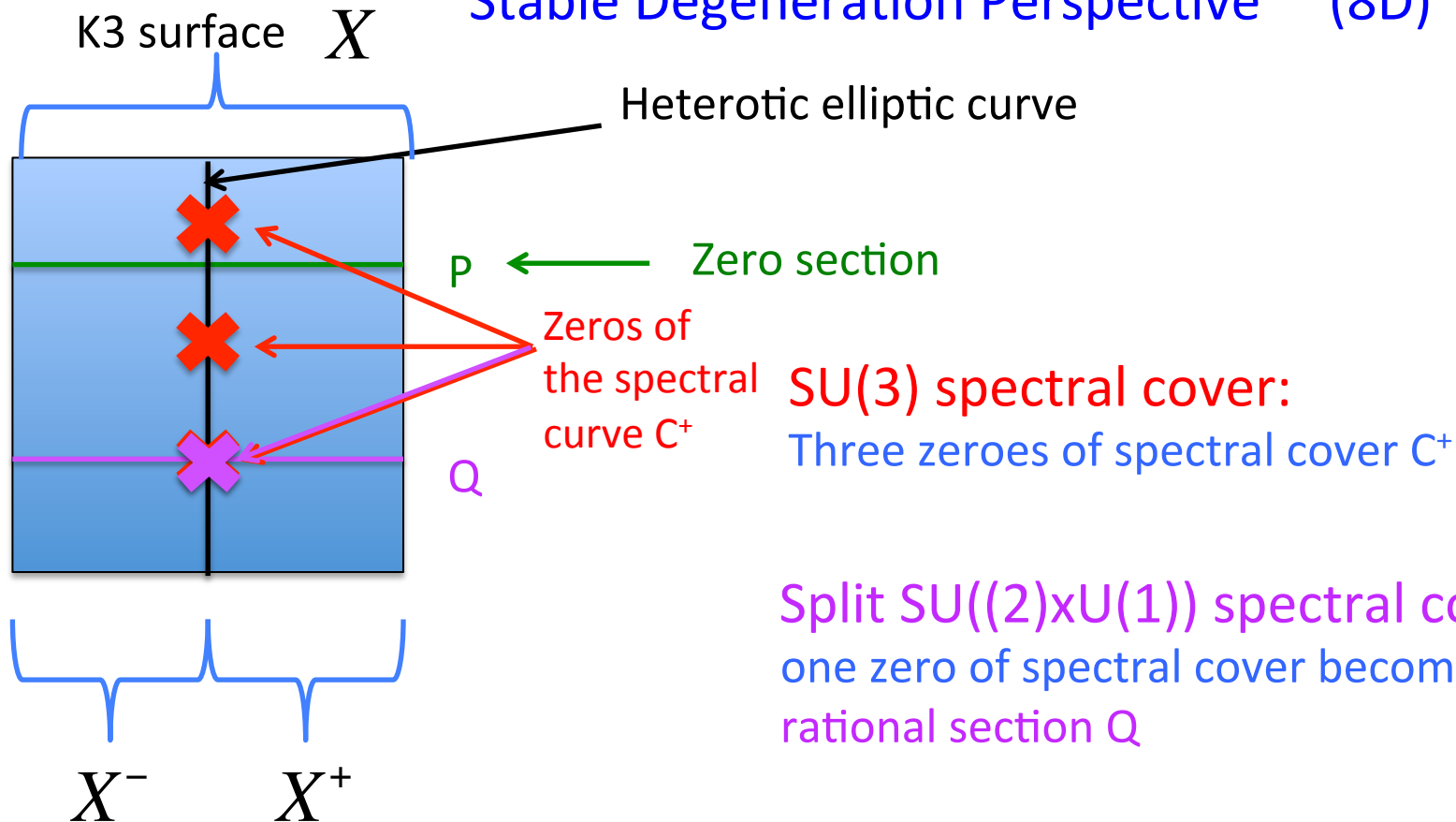


- $C$  defines an  $N$ -section of the elliptically fibered Heterotic K3 surface [ $SU(N)$ -structure group]
- If one of the leaves is globally well-defined  $Q$  section  $Q$ , the structure group splits to  $S(U(N-1) \times U(1))$

[Marsano, Saulina, Schäfer-Nameki '09], [Blumenhagen, Grimm, Jurke, Weigand '10], [Choi, Hayashi '12]

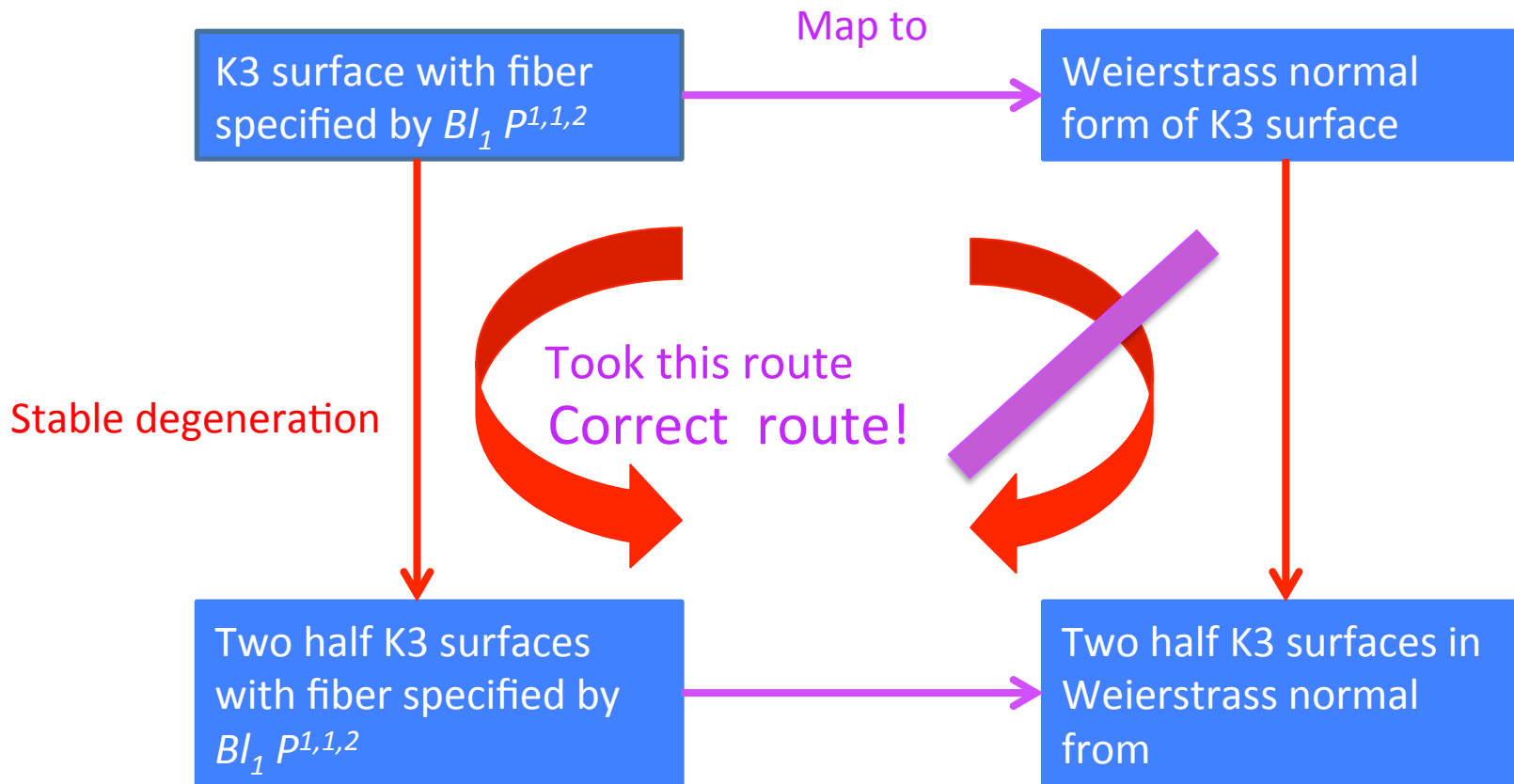
# Example: [Split] Spectral Cover $SU(3)[SU((2)\times U(1))]$

Stable Degeneration Perspective (8D)



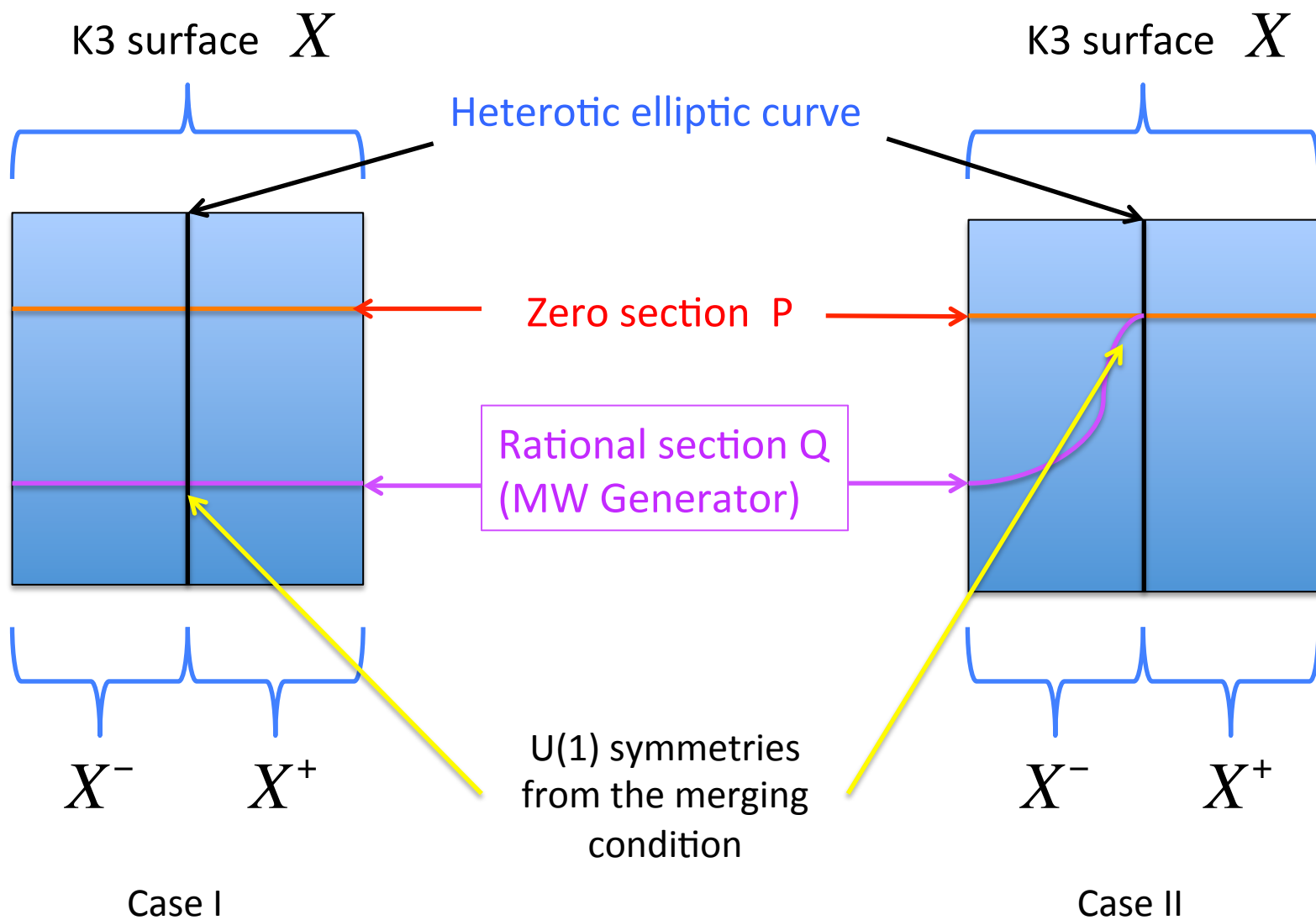
c.f.: [Witten '85], [Blumenhagen, Honecker, Weigand '05] [Aspinwall '05]

# Weierstrass form and stable degeneration (with MW)



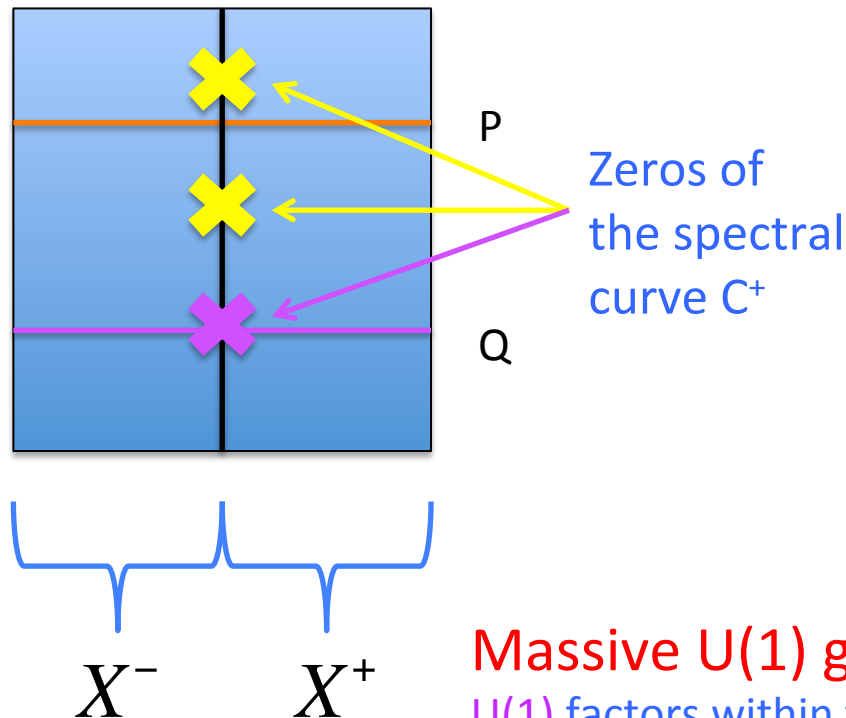
do not commute!

# Tracing the U(1)'s through the Duality



# Case I - Split Spectral Cover [SU(N-1)xU(1)]

Example:  $E_7 \times E_6 \times U(1)$  gauge symmetry



## Findings:

- $X^-$  -  $U(1)$  background bundle with  $E_7 \times U(1)_{\text{massive}}$  gauge symmetry
- $X^+$  -  $S(U(2) \times U(1))$  background bundle with  $E_6 \times U(1)_{\text{massive}}$  gauge symmetry
- One linear combination of two  $U(1)_{\text{massive}}$  's is a *massless*  $U(1)$

c.f., [Aspinwall'05]

## Massive $U(1)$ gauge symmetry:

$U(1)$  factors within the background bundle generate a mass term for the physical  $U(1)$ : stemming from the Chern-Simons terms in the generalized 10D field strength of the Kalb-Ramond field

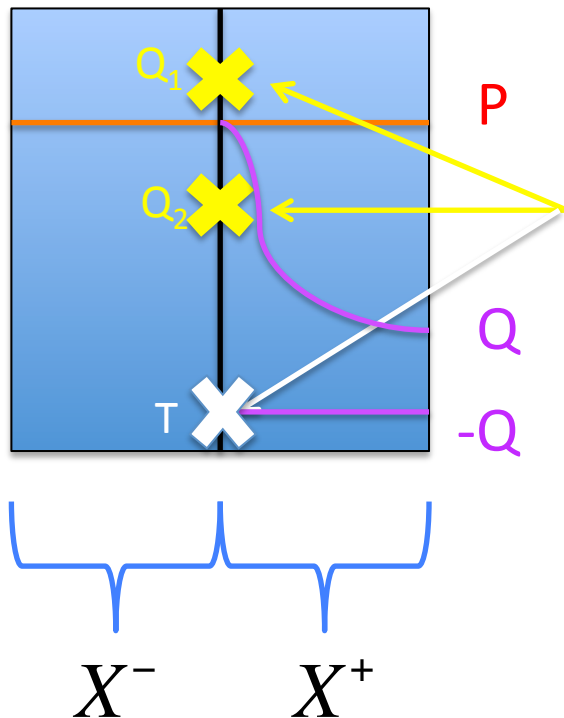
$$H = dB_2 - \frac{\alpha}{4} (\omega_{3Y}(A) - \omega_{3L}(\Omega))$$

Related work: [Anderson, Gray, Raghuram, Taylor 1512.05791]



# Case IIa - Spectral Cover with "Torsion"

Example:  $E_8 \times E_6 \times U(1)$  gauge symmetry



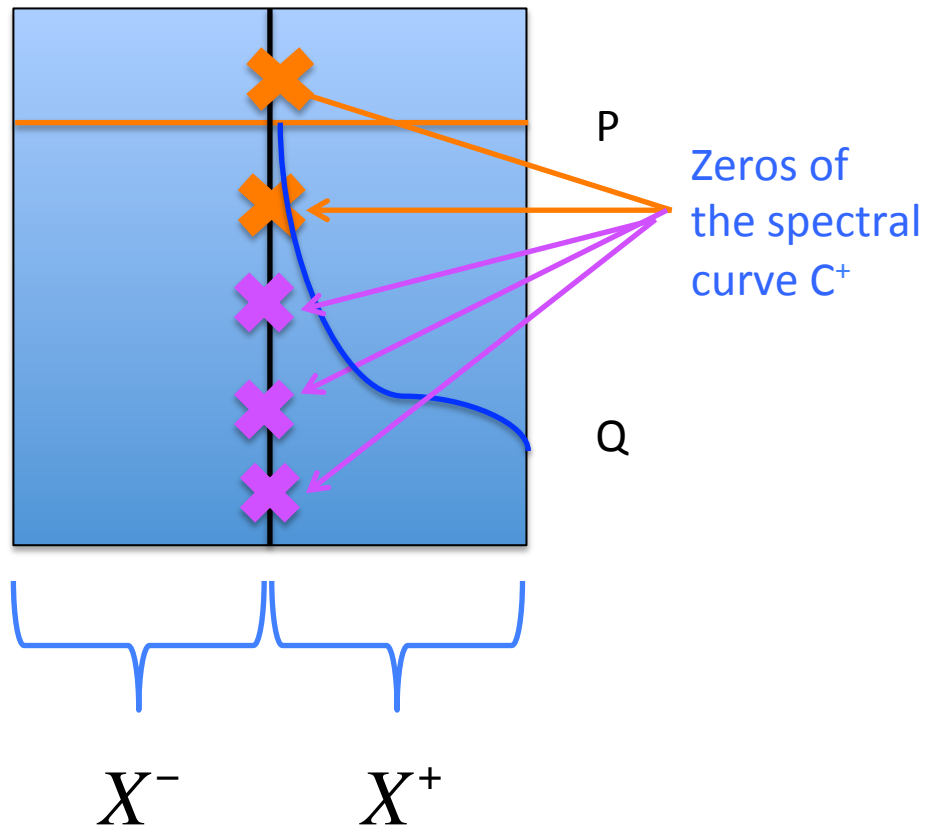
Zeros of the spectral curve  $C^+$

## Findings:

- $X^-$  - a trivial background bundle;  
 $E_8$  gauge symmetry
- $X^+$  -  $S(U(2) \times Z_2)$  spectral cover, i.e. two irrational points  $Q_1, Q_2$  with  $T$  being a torsional point of order 2
- No  $U(1)$  background bundle  $\rightarrow$   
 $U(1)$  gauge symmetry **massless**

# Case IIIb - non-Abelian Split Spectral Cover $SU(N) \times SU(M)$

Example:  $E_8 \times SO(7) \times U(1)$  gauge symmetry



## Findings:

- $X^-$  - a trivial background bundle  $E_8$  gauge symmetry
- $X^+$  -  $SU(2) \times SU(3)$  spectral cover
- Embedding of  $SU(2) \times SU(3)$  into  $E_8$  with  $SO(7)$  non-Abelian gauge symmetry necessarily produces a  $U(1)$  gauge symmetry
- No background  $U(1)$  bundle -  $U(1)$  gauge symmetry massless

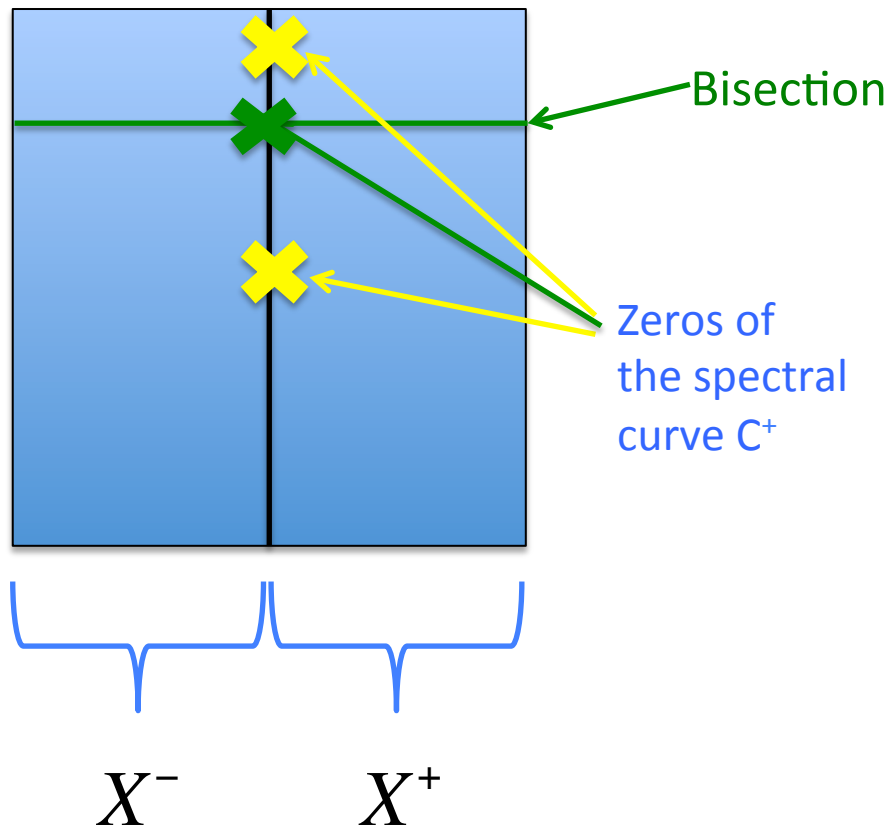
Further highlights: Peng Song's talk on Friday

# Discrete Symmetries and Stable Degeneration

work in progress, with A. Grassi and M. Poretschkin

Developed toric stable degeneration limit also applies to **different fiber types with bi-section** (examples with the ambient space  $\mathbb{P}^{(1,1,2)}$ )

Example:  $E_7 \times E_6 \times Z_2$  gauge symmetry



## Findings:

- $X^-$  - a trivial background bundle  $E_7$  gauge symmetry and a bi-section
- $X^+$  -  $SU(3)$  spectral cover which signals the bisection
- Double cover of the base: Spectral cover becomes split  $SU(3) \rightarrow S(U(2) \times U(1))$

Further investigation

# Outlook-Further Investigations

- Investigate examples with several  $U(1)$ s; matter spectra; 4D
- Further studies of discrete symmetries
- Study of geometrical transitions c.f., L. Anderson's talk
- Beyond  $SU(N)$  bundles: of BCDE type with split structure group  
Building on the work of [Morrison, Vafa], [Berglund, Mayr], ..