

Extremal transitions of Calabi-Yau fourfolds in M-theory

Sheldon Katz

University of Illinois at Urbana-Champaign

F-Theory at 20: Dave Day

February 25, 2016

H. Jockers, SK, D.R. Morrison, M.R. Plesser

[arXiv:1602.xxxxx](#)

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- 2 Field Theory Analysis
- 3 Toric Case

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M-Theory Background

- X CY3, $M[X]$
- 3-form gauge field C_3 , $G = dC_3$
- G is quantized:

$$\frac{G}{2\pi} - \frac{c_2(X)}{2} \in H^4(M, \mathbf{Z})$$

- Tadpole cancellation

$$M = \frac{\chi(X)}{24} - \frac{1}{2} \int_X \frac{G}{2\pi} \wedge \frac{G}{2\pi} \in \mathbf{Z},$$

number of M2 branes

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- G induces superpotential

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Extremal Transitions in M-Theory

- From singular X_0 , can blow up to X^\sharp or deform to X^b

$$X^b \rightsquigarrow \begin{array}{c} X^\sharp \\ \downarrow \\ X_0 \end{array}$$

- In M-theory, need G^\sharp and G^b
- M^\sharp and M^b M2-branes for tadpole cancellation
- Look for transitions for which the M2 branes are spectators—M2-branes kept away from $S = \text{Sing}(X_0)$
- $M^\sharp = M^b$, or

$$\frac{\chi(X^b)}{24} - \frac{\chi(X^\sharp)}{24} = \frac{1}{2} \int_X \frac{G^b}{2\pi} \wedge \frac{G^b}{2\pi} - \frac{1}{2} \int_X \frac{G^\sharp}{2\pi} \wedge \frac{G^\sharp}{2\pi}$$

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Surfaces of A_{n-1} Singularities

- Extremal transition where $S \subset X_0$ is a smooth surface of transverse A_{n-1} singularities
- Local equation $xy = z^n$, $z \in K_S$
- If $c_2(X^\sharp)$ is even, then $G^\sharp = 0$ satisfies quantization and tadpole cancellation for suitable M^\sharp
- The **main result** in this talk: given any toric hypersurface X_0 such that $c_2(X^\sharp)$ is the restriction of an even class on the toric variety, we can always find a transition in M theory from $(X^\sharp, G^\sharp = 0)$ to an (X^b, G^b) which satisfies quantization and tadpole cancellation.
- Furthermore, the geometric moduli of (X^b, G^b) perfectly match the predictions of the low energy theory

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Constraints from M theory

- Constraints from M theory on transition $(X^\sharp, 0) \rightarrow (X^b, G^b)$:
- Quantization:

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$$\frac{1}{2} \int_X \frac{G^b}{2\pi} \wedge \frac{G^b}{2\pi} = \frac{(n+1)n(n-1)K_S^2}{24}$$

using

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Low Energy Theory

- Low energy 3D dynamics is given by a twisted dimensional reduction of $N = 1$ 7D $SU(n)$ SYM on S
- $SU(n)$ gauge theory with $p_g + q$ adjoint chirals

$$p_g = h^{2,0}(S) = h^0(S, K_S), \quad q = h^{1,0}(S)$$

- Coulomb branch of dimension $(n-1)(p_g + q + 1)$, parametrized by vevs of gauge bosons in Cartan

$$(\phi_1, \dots, \phi_n), \quad \sum \phi_i = 0$$

and the vevs of the $n-1$ $U(1)^{n-1}$ -neutral scalars in each of the $p_g + q$ chirals

- Residual $S_n = W(A_{n-1})$ action on Coulomb branch
- Higgs branch dimension $(n^2 - 1)(p_g + q - 1)$

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Checks against gauge theory predictions

- $(n - 1)(p_g + 1)$ Coulomb branch moduli ($q = 0$): blowup modes in Kähler moduli and

$$xy + \prod_{i=1}^n (z + \eta_i), \quad \eta_i \in H^0(\mathcal{S}, K_{\mathcal{S}}), \quad \sum \eta_i = 0$$

- $(n^2 - 1)(p_g - 1)$ flat directions for G^{\flat}
- Have S_n action on Coulomb branch
- S_n action extends to Higgs branch

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Toric CY 4folds with A_{n-1} singularities

- N, M dual lattices of rank 5
- (Δ, Δ°) polar 5-dimensional reflexive polytopes
- $\Delta \subset M_{\mathbb{R}}, \Delta^\circ \subset N_{\mathbb{R}}$
- 0 unique interior point of $\Delta \cap M$ and of $\Delta^\circ \cap N$
- Fan Σ^\sharp of toric variety \mathbb{P}_Δ : cones over the faces of Δ°
- Highly singular, so we choose a maximal projective crepant subdivision of that fan
- A_{n-1} case: Δ° has a one-dimensional edge Γ containing $n - 1$ interior lattice points
- $X^\sharp \subset X_{\Sigma^\sharp}$ anticanonical hypersurface — CY 4fold
- Removing the interior lattice points of Γ blows down X_{Σ^\sharp} to X_{Σ_0} , and X^\sharp to X_0

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Example

- Describe $\mathbf{P}(1, 1, 2, 2, 2)$ (cf. Ronen's talk) torically
- Take edges of Σ_0 as rows of

$$\begin{pmatrix} -1 & -2 & -2 & -2 & -2 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

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Labeling Conventions

- Label vertices of Σ_0 as v_1, \dots, v_k ; v_1, v_2 endpoints of Γ
- For each v_j we have homogeneous coordinate x_j and divisor $D_j \subset X_{\Sigma_0}$ given by $x_j = 0$
- Interior points $v_0, v_{-1}, \dots, v_{2-n}$ in order
- For the vertices v_{2-n}, \dots, v_k we similarly have toric divisors $D_j^\# \subset X^{\Sigma^\#}$

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- Embed $\iota : X_{\Sigma} \hookrightarrow X_{\Sigma^b}$ for suitable fan Σ^b :
 $(x_1, x_2, x_3, \dots, x_k) \mapsto (x_1^n, x_2^n, x_1 x_2, x_3, \dots, x_k) =:$
 (y_0, \dots, y_k)
- The A_{n-1} is visible from $q_0(y) := y_0 y_1 - y_2^n = 0$
- Convenient choice for Σ^b : choose $m_{\Gamma} \in M$

$$\langle m_{\Gamma}, v_1 \rangle = n - 1, \quad \langle m_{\Gamma}, v_2 \rangle = -1$$

- Take as edges of Σ^b , with appropriate higher-dimensional cones

$$w_0 = \left(\frac{v_1 - v_2}{n}, -(n-1) \right),$$

$$w_1 = (0, 1),$$

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- Have toric divisors $D_j^b \subset X_{\Sigma^b}$ associated with edges w_j
- $D_0^b + D_1^b \sim ND_2^b$
- $\iota^*(D_2^b) = D_1 + D_2$
- $\iota^*(D') = D_1 + \dots + D_k$, $D' := D_2 + D_3 + \dots + D_k$
- Choose section $g(y)$ of D' which pulls back by ι to an equation for X_0
- $\iota(X_0)$ is the complete intersection of $q(y)$ and $g(y)$
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Example

- Return to $\mathbf{P}(1, 1, 2, 2, 2, 2)$. Take $m_{\Gamma} = (1, 0, 0, 0, 0, 0)$
- Edges of Σ^b are rows of

$$\begin{pmatrix} -1 & -1 & -1 & -1 & -1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

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- $S \subset X_{\Sigma^b}$ is the complete intersection of $y_0, y_1, y_2, g(y)$
- $K_S = K_{X_{\Sigma^b}}(D_0^b + D_1^b + D_2^b + D')|_S = \mathcal{O}_S(D_2)$
- Basis for sections of K_S correspond to interior lattice points of dual face Γ°
- ρ_g is the number of these points.
- Relabel coordinates so these correspond to y_3, \dots, y_{ρ_g+2}
- $\tilde{q} = y_0 y_1 - y_2^n - \sum_{j=0}^{n-2} h_{n-j}(y_3, \dots, y_{\rho_g+2}) y_2^j$, $\deg h_j = j$

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- Will exhibit G^b with the following smoothings of X_0 as flat directions

$$\tilde{q} = y_0 y_1 - \det(y_2 I_n + M(y_3, \dots, y_{p_g+2}))$$

with $M(y)$ a traceless $n \times n$ matrix of linear forms

- $(p_g - 1)(n^2 - 1)$ moduli for \tilde{q} :
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- Specialize to $n = 2$ for simplicity



$$M(y) = \begin{pmatrix} l_{11}(y) & l_{12}(y) \\ l_{21}(y) & -l_{11}(y) \end{pmatrix}$$

- $T_1 \subset X_{\Sigma^b}$ defined by $y_0 = y_2 + l_{11}(y) = l_{12}(y) = g(y) = 0$
- By construction, $T_1 \subset X^b$ since the first row of $y_2 l_2 + M(y)$ is $(y_2 + l_{11}(y), l_{12}(y))$
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$$\frac{G^b}{2\pi} := \frac{1}{2} (T_1 - T_2) \in H^4(X^b, \mathbf{R})$$

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- G^b is an algebraic class, hence of type $(2, 2)$
- Let $F \subset X_{\Sigma^b}$ be the hypersurface $g(y) = 0$
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- Tadpole: computing in X_{Σ^b}

$$\int_{X^b} \frac{G^b}{2\pi} \wedge \frac{G^b}{2\pi} = \frac{(n+1)n(n-1)}{12} \int_{X_{\Sigma^b}} D_0^b D_1^b (D_2^b)^3 D'$$

- Recall: S is the complete of divisors in the classes D_0^b, D_1^b, D_2^b, D'
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- Recall: S is the complete of divisors in the classes D_0^b, D_1^b, D_2^b, D'
- K_S is the restriction of D_2
- Integral on the right is just K_S^2
- Divide by two to verify tadpole cancellation



$$0 \rightarrow \mathcal{O}_{X_{\Sigma^{\sharp}}}^{k+n-6} \rightarrow \bigoplus_{i=2-n}^k \mathcal{O}_{X_{\Sigma^{\sharp}}}(D_i^{\sharp}) \rightarrow T_{X_{\Sigma^{\sharp}}} \rightarrow 0$$

- Leading to $c_2(X^{\sharp}) = \sum_{i < j} D_i^{\sharp} D_j^{\sharp}$

- Similarly $c_2(X^b) = \sum_{i < j} D_i^b D_j^b$



$$\frac{G^b}{2\pi} = \frac{1}{2} (T_1 - T_2) \equiv \frac{1}{2} (T_1 + T_2) \pmod{\mathbf{Z}}$$

- But $T_1 + T_2$ is the complete intersection $y_2 + \ell_{11}(y) = \tilde{q}(y)$ in F , cohomology class $(D_2^b)^2$

- We can replace $G^b/(2\pi)$ with $(D_2^b)^2/2$

- Everything is now explicitly computable and we verify quantization



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- $G^b \mapsto -G^b$
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HAPPY BIRTHDAY DAVE!