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F-theory at 20 Burke Institute, Caltech February 22, 2016

(joint work with Chuck Doran, arXiv:1503.07500 + work in progress)

- (1) Background
 - (1.1) Calabi-Yau operators

Irreducible Calabi-Yau operators $L \in \mathbb{C}[\theta, t]$

Irreducible **Calabi-Yau operators** $L \in \mathbb{C}[\theta, t]$ satisfy:

- t = 0 point of maximal unipotent monodromy.
- $\textbf{2} \quad L \text{ is self-dual: } \exists g \in \mathbb{Q}(t)^{\mathsf{alg}} : Lg = gL^* \ \Rightarrow \operatorname{Aut}(L/\mathbb{C}(t)) \subset \operatorname{Sp}_n(\mathbb{C}).$
- P has N-integral holomorphic solution at t = 0.
- Further integrality properties (q-coordinate of mirror-map, Yukawa-coupling, instanton numbers).

CY-operators of order four intend to axiomatize properties of the Picard-Fuchs operator and periods for a family $\pi : X \to \mathbb{P}^1$ of Calabi-Yau threefolds, which has a large structure limit and $h^{2,1} = 1$ on its generic fibers.

But families of this type are quite difficult to find!

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- Further integrality properties (*q*-coordinate of mirror-map, Yukawa-coupling, instanton numbers).

For **rank** 4, G. Almkvist et al. have a list of 565 CY-operators satisfying properties 1), 2), and 3).

Are there corresponding one-parameter families of Calabi-Yau threefolds with $h^{2,1} = 1$ that realize L as Picard-Fuchs operators?

- (1) Background
 - (1.1) Calabi-Yau operators

Irreducible Calabi-Yau operators $L \in \mathbb{C}[\theta, t]$

• The first 14 entries of the list are **hypergeometric functions** of the form (*a_i* certain rational numbers)

$$_{4}F_{3}\left(\begin{array}{c}a_{1}, a_{2}, 1-a_{2}, 1-a_{1}\\1, 1, 1\end{array} \mid t\right)$$

 Candelas et al. ['91] computed periods (and much more) for mirror of quintic family in P⁴ (⇒ a₁ = ¹/₅, a₂ = ²/₅):

$$x_0^5 + x_1^5 + x_2^5 + x_3^5 + x_4^5 - 5t \, x_0 x_1 x_2 x_3 x_4 = 0 \; .$$

• Doran and Morgan ['06] derived all 14 classifying weight-3 VHS with deformation space $\mathbb{P}^1 \setminus \{0, 1, \infty\}$ that resemble quintic family of Calabi-Yau threefolds; subsequently toric realizations were found by Doran et al.

(1) Background

(1.2) Monodromy tupels

What is a rigid mondromy tupel?

Fuchsian differential operator *L* of rank *n* with sing. locus $S \subset \mathbb{P}^1$ \Leftrightarrow Local system $\mathbb{L}(U) := \{f \in \mathcal{O}_{\mathbb{P}^1 \setminus S}(U) | L(f) = 0\}$ of rank *n*, \Leftrightarrow Monodromy representation

$$ho: \pi_1(\mathbb{P}^1 \setminus S, x_0) \to \operatorname{Gl}(\mathbb{L}_{x_0}) \cong \operatorname{Gl}_n(\mathbb{C}),$$

 \Leftrightarrow Monodromy tupel of rank *n* (up to simultaneous conjugation)

$$T = (T_1, T_2, \dots, T_r) := \left(\rho(\gamma_1), \rho(\gamma_2), \dots, \rho(\gamma_r)\right) \in \mathsf{Gl}_n(\mathbb{C})^r$$

s.t.
$$\prod_{i=1}^r T_i = \mathbb{I}.$$

Call a monodromy tupel **linearly rigid** if T is irreducible and up to conjugation in $GL_n(\mathbb{C})$ uniquely determined by Jordan form of its elements.

- (1) Background
 - (1.2) Monodromy tupels

Decomposition of linearly-rigid monodromy tupels

Example of CY-operator with linearly rigid monodromy

$$L^{(n+1)} \text{ for }_{n+1}F_n\left(\begin{array}{cc}a_1, \dots, a_{n+1}\\1, \dots, 1\end{array}\middle| t\right) = P\left\{\begin{array}{ccc}0 & 1 & \infty\\\hline 0 & 0 & a_1\\\vdots & & \vdots\\0 & n-1 & a_n\\0 & n-\sum a_i & a_{n+1}\end{matrix}\middle| t\right\},$$

with certain $a_j \in \mathbb{Q}\backslash\mathbb{Z}$ for all j .

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with certain $a_i \in \mathbb{O} \setminus \mathbb{Z}$ for all j .

Hadamard product on hypergeometric functions functions:

$$\sum_{n=0}^{\infty} A_n t^n \star_H \sum_{n=0}^{\infty} B_n t^n := \sum_{n=0}^{\infty} A_n B_n t^n ,$$

$${}_{n+1}F_n \left(\begin{array}{c} a_1, a_2, \dots, a_n, \alpha \\ c_1, \dots, c_{n-1}, 1 \end{array} \middle| t \right) \doteq \underbrace{{}_1F_0 \left(\alpha; \middle| t \right)}_{= \frac{1}{(1-t)^{\alpha}}} \star_H {}_nF_{n-1} \left(\begin{array}{c} a_1, a_2, \dots, a_n \\ c_1, \dots, c_{n-1} \end{array} \middle| t \right)$$

(Similar for differential operators: middle Hadamard product.)

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with $a_j \in \mathbb{Q} \setminus \mathbb{Z}$ for all j.

Decomposition into rank-1 tupels:

$$_{n+1}F_n\left(\begin{array}{c}a_1, \ldots, a_{n+1} \\ 1, \ldots, 1\end{array} \middle| t\right) = \underbrace{{}_1F_0(a_1; |t)}_{= \frac{1}{(1-t)^{a_1}}} \star_H \cdots \star_H {}_1F_0(a_{n+1}; |t).$$

A similar procedure always works for any linearly-rigid monodromy.

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- (1) Background
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Decomposition of linearly-rigid monodromy tupels

Decomposition into rank-1 tupels:

$$_{n+1}F_n\left(egin{array}{c} a_1, \ldots, a_{n+1} \\ 1, \ldots, 1 \end{array} \middle| t
ight) = {}_1F_0(a_1; |t) \star_H \cdots \star_H {}_1F_0(a_{n+1}; |t) .$$

Proposition (N. Katz ['96])

If T is linearly rigid, it can be constructed via tensor- and middle Hadamard products of ${}_1F_0(\alpha; |.)$'s.

Deligne, N. Katz gave an arithmetic description of linear rigidity that generalizes to any reductive complex algebraic group.

Bogner, Reiter ['11] generalized decomposition result to Sp_4 -rigid tupels.

But how geometric are these decomposition results?

(2) Results

Proposition (M.-Doran)

All rank-4 Calabi-Yau operators L of degree ≤ 2 and index ≤ 2 are the Picard-Fuchs operators of one-parameter families of K3-fibered ($\rho = 18, 19$) EFS Calabi-Yau threefolds.

There are 120 examples of this kind.

Proposition (M.-Doran)

All families are obtained through an **iterative construction** that produces families of EFS Calabi-Yau n-folds from families of EFS Calabi-Yau varieties of one dimension lower using a **generalized functional invariant**. In particular, all families are iteratively constructed from a single geometric object, the deformed Fermat quadric given by

$$X_0^2 + X_1^2 + 2tX_0X_1 = 0.$$

(Lian's period computations for surfaces of general type/Fano varieties have the same starting point.)

- (2) Results
 - (2.1) Twisted Legendre Pencils

Calabi-Yau n-folds related by Hadamard twists

• Euler integral transform:

$${}_{n+1}F_n\left(\begin{array}{c} \frac{1}{2},\frac{1}{2},\ldots,\frac{1}{2} \\ 1,\ldots,1 \end{array} \middle| t\right) \doteq \int_0^1 \frac{dx}{\sqrt{x(1-x)}} \ {}_nF_{n-1}\left(\begin{array}{c} \frac{1}{2},\ldots,\frac{1}{2} \\ 1,\ldots,1 \end{array} \middle| tx\right)$$

• Hirarchy of twisted Legendre pencils:

$$\begin{aligned} & EC_t \qquad y_1^2 = (1-t\,x_1)\,x_1\,(1-x_1)\,, \\ & K3_t \qquad y_2^2 = (1-t\,x_1\,x_2)\,x_1\,(1-x_1)\,x_2(1-x_2)\,, \\ & CY3_t \qquad y_3^2 = (1-t\,x_1\,x_2\,x_3)\,x_1\,(1-x_1)\,x_2(1-x_2)\,x_3\,(1-x_3)\,. \end{aligned}$$

• Compute their **periods**:

$$\begin{split} \int_{A} \frac{dx_{1}}{y_{1}} &= \int_{0}^{1} \frac{dx_{1}}{\sqrt{x_{1}(1-x_{1})}} \frac{1}{\sqrt{1-tx_{1}}} \doteq {}_{2}F_{1}\left(\frac{1}{2},\frac{1}{2};1\right|t\right) ,\\ \iint_{S} \frac{dx_{1} \wedge dx_{2}}{y_{2}} &= \int_{0}^{1} \frac{dx_{2}}{\sqrt{x_{2}(1-x_{2})}} \int_{0}^{1} \frac{dx_{1}}{y_{1}} \doteq {}_{3}F_{2}\left(\begin{array}{c} \frac{1}{2},\frac{1}{2};\frac{1}{2}\\1,1\end{array}\right|t\right) ,\\ \iiint_{C} \frac{dx_{1} \wedge dx_{2} \wedge dx_{3}}{y_{3}} &= \int_{0}^{1} \frac{dx_{3}}{\sqrt{x_{3}(1-x_{3})}} \iint_{S} \frac{dx_{1} \wedge dx_{2}}{y_{2}} \doteq {}_{4}F_{3}\left(\begin{array}{c} \frac{1}{2},\frac{1}{2},\frac{1}{2},\frac{1}{2}\\1,1,1\end{array}\right|t\right) . \end{split}$$

(2) Results

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Calabi-Yau n-folds related by Hadamard twists

• Hirarchy of twisted Legendre pencils:

$$\begin{array}{ll} pt_t & y_0^2 & = 1-t & (\mbox{quadric pencil}) \ , \\ E_t & y_1^2 & = (1-t\,x_1)\,x_1\,(1-x_1) & (\mbox{extremal}) \ , \\ K3_t & y_2^2 & = (1-t\,x_1\,x_2)\,x_1\,(1-x_1)\,x_2(1-x_2) & (\mbox{$\rho=19$}) \ , \\ CY3_t & y_3^2 & = (1-t\,x_1\,x_2\,x_3)\,x_1\,(1-x_1)\,x_2(1-x_2)\,x_3\,(1-x_3) & (\mbox{$h^{2,1}=1$}) \ . \end{array}$$

• Compute their **periods**:

$$\begin{aligned} \frac{1}{y_0} &= \frac{1}{\sqrt{1-t}} = {}_1F_0\left(\frac{1}{2}; \mid t\right) ,\\ \int_A \frac{dx_1}{y_1} &= {}_1F_0\left(\frac{1}{2}; \mid t\right) \star_H {}_1F_0\left(\frac{1}{2}; \mid t\right) = {}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1 \mid t\right) ,\\ \iint_S \frac{dx_1 \wedge dx_2}{y_2} &= {}_1F_0\left(\frac{1}{2}; \mid t\right) \star_H {}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1 \mid t\right) = {}_3F_2\left(\begin{array}{c} \frac{1}{2}, \frac{1}{2}; \frac{1}{2} \mid t\right) ,\\ \iint_C \frac{dx_1 \wedge dx_2 \wedge dx_3}{y_3} &= {}_1F_0\left(\frac{1}{2}; \mid t\right) \star_H {}_3F_2\left(\begin{array}{c} \frac{1}{2}, \frac{1}{2}; \frac{1}{2} \mid t\right) = {}_4F_3\left(\begin{array}{c} \frac{1}{2}, \frac{1}{2}; \frac{1}{2} \mid t\right) .\end{aligned}$$

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(2) Results

(2.2) Extremal Families of Elliptic Curves

What about rk-2 rigid systems with 3 sing's?

• Rational elliptic surfaces **S**

$$ar{\mathbf{S}}: \; y^2 = 4\, x^3 - g_2(t)\, x - g_3(t)\,, \qquad egin{array}{c} g_2 \in H^0(\mathcal{O}(4)), \ g_3 \in H^0(\mathcal{O}(6)), \ ft:1] \in \mathbb{P}^1. \end{array}$$

- Consider extremal families of elliptic curves with rk(MW) = 0, classified by Miranda, Persson ['86].
- Extremal rational surfaces (up to *-transfer w/ 3 sing.'s):

gen. modular			μ	G
<i>I</i> ₄	I_1	I_{1}^{*}	1/2	$\Gamma_0(4)$
I_3	I_1	IV^*	1/3	$\Gamma_0(3)$
I_2	I_1	<i>III*</i>	1/4	$\Gamma_0(2)$
I_1	I_1	H^*	1/6	$\Gamma_0(1)^*$

Picard-Fuchs operators are rank-2 Calabi-Yau operators with holomorphic solution:

$$\omega = \oint_{\mathsf{A}} rac{d\mathsf{x}}{\mathsf{y}} = {}_2F_1(\mu, 1-\mu; 1|t)$$

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I_4	I_1	I_1^*	1/2	$\Gamma_0(4)$
I_3	I_1	IV^*	1/3	$\Gamma_0(3)$
I_2	I_1	<i>III*</i>	1/4	$\Gamma_0(2)$
I_1	I_1	H^*	1/6	$\Gamma_0(1)^*$

Picard-Fuchs operators are rank-2 Calabi-Yau operators with holomorphic solution:

$$\omega = \oint_A \frac{dx}{y} = {}_2F_1(\mu, 1-\mu; 1|t) = {}_1F_0(\mu; |t) + F_0(1-\mu; |t)$$

(2) Results

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Picard-Fuchs operators are rank-2 Calabi-Yau operators with holomorphic solution:

$$\omega = \oint_{A} \frac{dx}{y} = {}_{2}F_{1}(\mu, 1-\mu; 1|t) = {}_{2}F_{1}\left(\begin{array}{c} \mu, 1-\mu \\ \frac{1}{2}\end{array} \middle| t\right) \star_{H} {}_{1}F_{0}\left(\frac{1}{2}; |t\right)$$

- (2) Results
 - (2.2) Extremal Families of Elliptic Curves

Extremal rational surfaces and their periods

• generalized functional invariant: specifying integers (i, j, α) with $i \in \{1, 2\}$, $\alpha \in \{\frac{1}{2}, 1\}$, and $1 \le j \le 2\alpha$ such that

$${}_{2}F_{1}\left(\begin{array}{c}\mu,1-\mu\\1\end{array}\middle|t\right)\doteq\oint_{|x|=\epsilon}\frac{dx}{x(x+1)^{\alpha}} {}_{1}F_{0}\left(\frac{1}{2};\left|\frac{c_{ij}t}{x^{i}(x+1)^{j}}\right)\right)$$

• min. Weierstrass model by twist and base transformation

$$\begin{array}{rcl} pt_t: & y^2 & = & 1-t \\ & & \downarrow^{(i,j,\alpha)} \end{array} \\ EC_t: & y^2 & = & \left(1 - \frac{c_{ij} t}{x^i (x+1)^j}\right) x^2 (x-1)^{2\alpha} , \\ & & \Delta \doteq t^n (1-t) . \end{array}$$

with
$$\frac{(n,\mu)}{(i,j,\alpha)} \begin{array}{|c|c|c|c|c|c|c|c|} (4,\frac{1}{2}) & (3,\frac{1}{3}) & (2,\frac{1}{4}) & (1,\frac{1}{6}) \\ \hline (i,j,\alpha) & (1,1,1) & (1,2,1) & (1,1,\frac{1}{2}) & (2,1,\frac{1}{2}) \\ \hline \end{array}$$

- (2) Results
 - (2.3) Families of K3 surfaces with high Picard rank

What about rk-3 rigid systems with 3 sing's?

• Twist EC_t with generalized functional invariant (i, j, α) :

$$EC_t: y^2 = 4x^3 - g_2(t)x - g_3(t)$$

$$\downarrow^{(i,j,\alpha)} + 4x^3 - g_2(T)h(u)^2x - g_3(T)h(u)^3$$

- Base change: double cover $T = \frac{c_{ij}t}{u'(u+1)'}$, twist $h(u) = u^2(u+1)^{2\alpha}$.
- Example $(\mu = 1/4, (i, j, \alpha) = (1, 1, 1))$: 1-param. family of K3 surfaces with M_2 -polarization (Picard rank 19),



• K3's are one-parameter families with n = 1, 2, 3, 4 and $M_n = H \oplus E_8 \oplus E_8 \oplus \langle -2n \rangle$ lattice polarization for $\mu = \frac{1}{6}, \frac{1}{4}, \frac{1}{3}, \frac{1}{2}$.

- (2) Results
 - (2.3) Families of K3 surfaces with high Picard rank

One-parameter families of M_n -polarized K3 surfaces

• Twist EC_t with generalized functional invariant (i, j, α) :

$$EC_t: y^2 = 4x^3 - g_2(t)x - g_3(t)$$

$$\downarrow^{(i,j,\alpha)} + 4x^3 - g_2(T)h(u)^2x - g_3(T)h(u)^3$$

• Base change: double cover $T = \frac{c_{ij}t}{u'(u+1)'}$, twist $h(u) = u^2(u+1)^{2\alpha}$.

Picard-Fuchs operators are rank-3 Calabi-Yau operators with holomorphic solution for $(i, j, \alpha) = (1, 1, 1)$:

$$\Omega = {}_{3}F_{2}\left(\begin{array}{c} \mu, \frac{1}{2}, 1-\mu \\ 1, 1 \end{array} \middle| t\right) = {}_{1}F_{0}\left(\frac{1}{2}; |t\right) \star_{H} {}_{2}F_{1}\left(\mu, 1-\mu; 1|t\right)$$

• RHS can be interpreted as modular form for $\Gamma_0(n)^+$ with n = 1, 2, 3, 4 for $\mu = \frac{1}{6}, \frac{1}{4}, \frac{1}{3}, \frac{1}{2}$.

(2) Results

(2.4) Families of EFS CY threefolds

What about rk-4 rigid systems with 3 sing's?

Example: iterated quadratic twists of extremal families of EC's

• Twist EC_t $(g_2(t), g_3(t)$ from modular surface for $\Gamma_0(n)$):

$$\begin{array}{rcl} EC_t: \ y^2 &=& 4\,x^3 - g_2(t)\,x - g_3(t) \\ & \downarrow \\ K3_t: \ y^2 &=& 4\,x^3 - g_2(tu)\,(u(1-u))^2 x - g_3(tu)\,(u(1-u))^3 \\ & \downarrow \\ CY3_t: \ y^2 &=& 4\,x^3 - g_2(tuv)\,(uv(1-u)(1-v))^2 x - g_3(tuv)\,(uv(1-u)(1-v))^3 \end{array}$$

• Homogeneous Weierstrass equation over $\mathbb{P}^1 \times \mathbb{P}^1$:

$$Y^{2} Z = 4 X^{3} - G_{2}(t, U_{0}, U_{1}, V_{0}, V_{1}) X Z^{2} - G_{3}(t, U_{0}, U_{1}, V_{0}, V_{1}) Z^{3}$$

with $(\mathbb{C}^*)^3$ -action (satisfying $\sum deg(var_i) = deg(WEq)$).

- Canonical bundle formula for total space \Rightarrow total space is CY.
- Calabi-Yau threefold:

$$= \left((\mathsf{WEq}) - \{ X = Y = Z = 0 \} - \{ U_1 = U_2 = 0 \} - \{ V_1 = V_2 = 0 \} \right) / (\mathbb{C}^*)^3$$

(2) Results

(2.4) Families of EFS CY threefolds

What about rk-4 rigid systems with 3 sing's?

Example: iterated quadratic twists of extremal families of EC's

• Twist EC_t $(g_2(t), g_3(t)$ from modular surface for $\Gamma_0(n)$):

$$EC_{t}: y^{2} = 4x^{3} - g_{2}(t)x - g_{3}(t)$$

$$K_{3}_{t}: y^{2} = 4x^{3} - g_{2}(tu) (u(1-u))^{2}x - g_{3}(tu) (u(1-u))^{3}$$

$$\downarrow^{(1,1,1)}$$

$$CY_{3}_{t}: y^{2} = 4x^{3} - g_{2}(tuv) (uv(1-u)(1-v))^{2}x - g_{3}(tuv) (uv(1-u)(1-v))^{3}$$

• 4 examples of Weierstrass models W o S over $S = \mathbb{P}^1 imes \mathbb{P}^1$

Picard-Fuchs operators are rank-4 Calabi-Yau operators with holomorphic solution:

$${}_{4}F_{3}\left(\begin{array}{c}\mu,\frac{1}{2},\frac{1}{2},1-\mu\\1,1,1\end{array}\middle|t\right) = {}_{1}F_{0}\left(\frac{1}{2};\left|t\right)\star{}_{1}F_{0}\left(\frac{1}{2};\left|t\right)\star{}_{2}F_{1}\left(\begin{array}{c}\mu,1-\mu\\1\end{array}\right|t\right)$$

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(2) Results

(2.4) Families of EFS CY threefolds

Smooth models for Weierstrass models

- following Miranda ['83] we obtain **smooth models** from the Weierstrass models $W \rightarrow S$ as follows:
 - blow-up S until discriminant Δ_{red} has simple normal crossings,
 - continue until only small list of collisions of Kodaira-types left,
 - obtain birational Weierstrass model W'
 ightarrow S',
 - <u>observe</u>: only blowing up (4, 6, 12)-points, so W' is still CY,
 - X', S' smooth; $f: X' \to W' \to S'$ flat, relat. minimal,
 - resolution of collision II + IV must be handled separately.



(2) Results

(2.4) Families of EFS CY threefolds

Elliptic fibrations on mirror families

• 1-parameter family of **Fermat hypersurface** in \mathbb{P}^n ,

$$X_0^{n+1} + X_1^{n+1} + \dots + X_n^{n+1} + (n+1) \lambda X_0 X_1 \cdots X_n = 0,$$

divide by $(\mathbb{Z}/(n+1)\mathbb{Z})^{n-1}$ to construct **mirror family**:

$$f_n(x_1,\ldots,x_n,t) = x_1\cdots x_n(x_1+\cdots+x_n+1) + \frac{t}{(n+1)^{n+1}} = 0.$$

• Periods follow from residue computation:

$$\underbrace{\int \cdots \int_{K_n}}_{n-1} \frac{dx_2 \wedge \cdots dx_n}{\partial_{x_1} f_n(x_1, \cdots, x_n, t)} \doteq {}_n F_{n-1} \left(\begin{array}{cc} \frac{1}{n+1} & \cdots & \frac{n}{n+1} \\ 1, & \cdots, & 1 \end{array} \right) \ .$$

• *Iterative* structure, e.g., mirror-quartic (n = 3) is fibered by cubics (n = 2), by setting $\tilde{x}_1 = \frac{x_1}{x_3+1}, \tilde{x}_2 = \frac{x_2}{x_3+1}, \tilde{t} = -\frac{27t}{256x_3(x_3+1)^3}$.

(2) Results

(2.4) Families of EFS CY threefolds

Elliptic fibrations on mirror families

- families of EFS Calabi-Yau *n*-folds over $\mathbb{P}^1 \setminus \{0, 1, \infty\}$,
- fibrations generated using generalized functional invariant,
- CY-operator and holomorphic solution by Hadamard-twist.



Calabi-Yau manifolds realizing symplectically rigid monodromy tuples

(3) Summary

Summary

• Iterative procedure constructs families of EFS Calabi-Yau (n+1)-folds with $h^{n,1} = 1$, holom. periods, and PF operators:

- allows for construction of Miranda elliptic fibrations,
- contains all fibrations of the Calabi-Yau threefolds from Doran, Morgan ['06] by *M* or *M_n* polarized K3's,
- produces all know examples of degree-2, rank-4 Calabi-Yau operators as geometric Picard-Fuchs operators.

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Thank your for your attention.