

# 1 Free real scalar field

The Hamiltonian is

$$H = \int d^3x \mathcal{H} = \frac{1}{2} \int d^3x (p(x)^2 + (\nabla\phi)^2 + m^2\phi^2)$$

Let us expand both  $\phi$  and  $p$  in Fourier series:

$$\phi(t, \mathbf{x}) = \int \frac{d^3p}{2\omega(\mathbf{p})} \tilde{\phi}(t, \mathbf{x}) e^{i\mathbf{p}\cdot\mathbf{x}}, \quad p(t, \mathbf{x}) = \int \frac{d^3p}{2\omega(\mathbf{p})} \tilde{p}(t, \mathbf{x}) e^{i\mathbf{p}\cdot\mathbf{x}}.$$

where  $\omega(\mathbf{p}) = \sqrt{\mathbf{p}^2 + m^2}$ . Then:

$$H = \frac{1}{2} \int \frac{d^3p}{(2\pi)^3 (2\omega(\mathbf{p}))^2} \left( |\tilde{p}(\mathbf{p})|^2 + |\tilde{\phi}(\mathbf{p})|^2 \omega(\mathbf{p})^2 \right).$$

This is a Hamiltonian for an infinite collection of harmonic oscillators labeled by  $\mathbf{p} \in \mathbb{R}^3$  and energy  $\omega(p)$ . Introduce creation-annihilation operators:

$$a(\mathbf{p}) = \frac{p(\mathbf{p}) - i\phi(\mathbf{p})}{\omega(\mathbf{p})\sqrt{2}}, \quad a^\dagger(\mathbf{p}) = \frac{p^\dagger(\mathbf{p}) + i\phi^\dagger(\mathbf{p})}{\omega(\mathbf{p})\sqrt{2}}.$$

Then:

$$H = \int \frac{d^3p}{(2\pi)^3 2\omega(\mathbf{p})} \omega(\mathbf{p}) \left( a^\dagger(\mathbf{p}) a(\mathbf{p}) + \frac{1}{2} \right)$$

The last term in parentheses can be dropped (divergent vacuum energy). The operators  $a, a^\dagger$  satisfy:

$$[a(\mathbf{p}), a^\dagger(\mathbf{p}')] = (2\pi)^3 2\omega(\mathbf{p}) \delta^3(\mathbf{p} - \mathbf{p}'), \quad [a(\mathbf{p}), a(\mathbf{p}')] = 0.$$

The expression  $2\omega(\mathbf{p})\delta^3(\mathbf{p} - \mathbf{p}')$  is Lorentz-invariant, so this is a natural normalization of creation-annihilation operators in a relativistic theory.

So, as expected, the free scalar field describes noninteracting spinless bosonic particles with a relativistic energy-momentum relation  $E(\mathbf{p}) = \sqrt{\mathbf{p}^2 + m^2}$ .

# 2 Free complex scalar field

Commutation relations:

$$\begin{aligned}
[\phi(t, \mathbf{x}), p(t, \mathbf{y})] &= i\delta^3(\mathbf{x} - \mathbf{y}), \\
[\phi(t, \mathbf{x})^\dagger, p(t, \mathbf{y})^\dagger] &= i\delta^3(\mathbf{x} - \mathbf{y}), \\
[\phi(t, \mathbf{x})^\dagger, p(t, \mathbf{y})] &= 0, \\
[\phi(t, \mathbf{x}), p(t, \mathbf{y})^\dagger] &= 0, \\
[\phi(t, \mathbf{x}), \phi(t, \mathbf{y})] &= 0, \\
[\phi(t, \mathbf{x})^\dagger, \phi(t, \mathbf{y})^\dagger] &= 0, \\
[\phi(t, \mathbf{x}), \phi(t, \mathbf{y})^\dagger] &= 0, \\
[p(t, \mathbf{x}), p(t, \mathbf{y})] &= 0, \\
[p(t, \mathbf{x})^\dagger, p(t, \mathbf{y})^\dagger] &= 0, \\
[p(t, \mathbf{x}), p(t, \mathbf{y})^\dagger] &= 0.
\end{aligned}$$

Here  $p = \dot{\phi}^\dagger, p^\dagger = \dot{\phi}$ .  
Hamiltonian:

$$H = \int d^3x (pp^\dagger + \partial_i \phi^\dagger \partial_i \phi + m^2 \phi^\dagger \phi).$$

Let us show that these equations describe the bosonic Fock space for relativistic particles (with  $E_{\mathbf{p}} = \sqrt{\mathbf{p}^2 + m^2}$ ). Let us Fourier transform the scalar field  $\phi$ :

$$\phi(t, \mathbf{x}) = \int \frac{d^3p}{2E_{\mathbf{p}}(2\pi)^3} \tilde{\phi}(t, \mathbf{p}) e^{i\mathbf{p}\cdot\mathbf{x}}.$$

The Klein-Gordon equation

$$(\partial_0^2 - \nabla^2 + m^2)\phi = 0$$

gives an ordinary differential equation for  $\tilde{\phi}(t, \mathbf{p})$ :

$$\frac{\partial^2 \tilde{\phi}}{\partial t^2} = -(\mathbf{p}^2 + m^2)\tilde{\phi}.$$

The general solution is

$$\tilde{\phi}(t, \mathbf{p}) = e^{-iE_{\mathbf{p}}t} a(\mathbf{p}) + e^{iE_{\mathbf{p}}t} c(\mathbf{p}).$$

It will be convenient to rename  $c(\mathbf{p}) = b(-\mathbf{p})^\dagger$ . Then

$$\phi(t, \mathbf{x}) = \int \frac{d^3p}{2E_{\mathbf{p}}(2\pi)^3} (a_{\mathbf{p}}e^{ip \cdot x} + b(\mathbf{p})^\dagger e^{-ip \cdot x}).$$

Similarly

$$\phi(t, \mathbf{x})^\dagger = \int \frac{d^3p}{2E_{\mathbf{p}}(2\pi)^3} (b_{\mathbf{p}}e^{ip \cdot x} + a(\mathbf{p})^\dagger e^{-ip \cdot x}).$$

We can invert these formulas and express  $a, b, a^\dagger, b^\dagger$  in terms of  $\phi, \dot{\phi}$  and  $\phi^\dagger, \dot{\phi}^\dagger$ . (This is an exercise). Then the commutation relations of  $a, a^\dagger, b, b^\dagger$  turn out

$$[a(\mathbf{p}), a^\dagger(\mathbf{q})] = (2\pi)^3 2E_{\mathbf{p}} \delta^3(\mathbf{p} - \mathbf{q}), \quad (1)$$

$$[b(\mathbf{p}), b^\dagger(\mathbf{q})] = (2\pi)^3 2E_{\mathbf{p}} \delta^3(\mathbf{p} - \mathbf{q}), \quad (2)$$

with all other commutators vanishing. Thus it is natural to postulate the existence of the vacuum state  $|0\rangle$ , annihilated by all  $a(\mathbf{p})$  and  $b(\mathbf{p})$ . Then the Hilbert space is the bosonic Fock space built on the sum of two copies of  $L^2(\mathbb{R}^3)$ . Why two copies? We expected only one! Resolution: we have an additional quantum number which distinguishes  $b$ -particles from  $a$ -particles. The  $b$ -particles are actually anti-particles of  $a$ -particles! (see below).

Hamiltonian becomes

$$H = \frac{1}{2} \int \frac{d^3p}{2E_{\mathbf{p}}(2\pi)^3} E_{\mathbf{p}} (a^\dagger(\mathbf{p})a(\mathbf{p}) + a(\mathbf{p})a^\dagger(\mathbf{p}) + b^\dagger(\mathbf{p})b(\mathbf{p}) + b(\mathbf{p})b^\dagger(\mathbf{p})).$$

Let us normal-order it:

$$H = V(2\pi)^{-3} \int d^3p E_{\mathbf{p}} + \dots$$

Thus the vacuum energy density is divergent. If we cut off the integral at  $|\mathbf{p}| = \Lambda$ , we find

$$\mathcal{E}_0 = \frac{\Lambda^4}{8\pi^2}$$

This is the simplest example of an *ultraviolet divergence*.

### 3 Noether's theorem

(Reading: section 22, pp. 132-135).

Noether's theorem says that for every continuous symmetry of the action there is a current  $j_\mu$  (vector-valued function made of fields and their derivatives) which satisfies

$$\partial_\mu j^\mu = 0.$$

This implies that

$$Q = \int d^3x j^0(t, \mathbf{x})$$

is time-independent. I.e. it is a conserved charge. In the Hamiltonian formalism this is expressed as  $Q, H = 0$ , which upon quantization becomes  $[Q, H] = 0$ .

Let us derive the Noether theorem for a theory of scalar fields with a Lagrangian  $\mathcal{L}(\phi^a)$ . Suppose the infinitesimal symmetry transformation is given by

$$\delta\phi^a = \epsilon \cdot v^a(\phi).$$

Consider now the same transformation, but with  $\epsilon$  a function of  $x$ . Since the action is of first order in derivatives of  $\phi$ , the variation of the action must be of the form

$$\delta S = \int d^4x j^\mu \partial_\mu \epsilon,$$

for some  $j^\mu$  independent of  $\epsilon$ . But on equations of motion this must vanish, for arbitrary  $\epsilon$ . Therefore  $\partial_\mu j^\mu = 0$ .

Let us apply this procedure to the complex scalar field  $\phi$  and the transformation

$$\delta\phi = i\epsilon\phi, \quad \delta\phi^\dagger = -i\epsilon\phi^\dagger.$$

The variation of the action is

$$\delta S = i \int d^4x \partial_\mu \epsilon (-\phi^\dagger \partial^\mu \phi + \partial^\mu \phi^\dagger \phi).$$

Hence the current is

$$j_\mu = -i (\phi^\dagger \partial_\mu \phi - \partial_\mu \phi^\dagger \phi).$$

What is the meaning of the corresponding charge, in terms of particles?

$$Q = \int \frac{d^3k}{(2\pi)^3 2E_{\mathbf{k}}} (a^\dagger(\mathbf{k})a(\mathbf{k}) - b^\dagger(\mathbf{k})b(\mathbf{k})).$$

I.e. it is the number of particles minus the number of anti-particles.

Let me consider another example: translational symmetry. Here

$$\delta\phi = \epsilon^\mu \partial_\mu \phi.$$

Note that here  $\epsilon$  has a vector index. Thus we expect

$$\delta S = \int d^4x \partial_\nu \epsilon^\mu T_\mu^\nu$$

for some tensor  $T$ . (It is called the stress-energy tensor). Let us determine  $T$ . For constant  $\epsilon$  we have

$$\delta S = \int d^4x \epsilon^\mu \partial_\mu \mathcal{L}.$$

This indeed vanishes for constant  $\epsilon$  (by integration by parts), but does not vanish for nonconstant  $\epsilon$ . But for non-constant  $\epsilon$  we also get other terms in the variation:

$$\delta S = \int d^4x \left( -\partial_\mu \epsilon^\mu \mathcal{L} + \partial_\mu \epsilon^\nu \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \partial_\nu \phi \right).$$

Hence

$$T_\nu^\mu = \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \partial_\nu \phi - \delta_\nu^\mu \mathcal{L}.$$

For the free scalar field, we get

$$T_\nu^\mu = -\partial^\mu \phi^\dagger \partial_\nu \phi + \partial^\mu \phi \partial_\nu \phi^\dagger - \delta_\nu^\mu \mathcal{L}.$$

For example:

$$T_0^0 = \partial_0 \phi^\dagger \partial_0 \phi + \nabla \phi^\dagger \nabla \phi + m^2 \phi^\dagger \phi.$$

The corresponding “charge” is the energy (i.e. the Hamiltonian). Similarly,

$$T_i^0 = \partial_0 \phi^\dagger \partial_i \phi + \partial_i \phi^\dagger \partial_0 \phi.$$

The corresponding charge is minus the momentum. Indeed, after expressing in terms of  $a$  and  $b$  get

$$\int d^3x T_i^0 = - \int \frac{d^3k}{(2\pi)^3 2E_{\mathbf{k}}} k_i (a^\dagger(\mathbf{k})a(\mathbf{k}) + b^\dagger(\mathbf{k})b(\mathbf{k})).$$

Starting from a symmetry, one can get a conserved charge. Conversely, starting from a conserved charge  $Q$ , one can try to get a symmetry transformation, by letting

$$\delta F = \{Q, F\}.$$

Then  $\delta H = 0$ , and  $\delta$  commutes with time translations.

One can show directly that  $Q$  is the generator of symmetry transformations:

$$Q = - \int d^3x p_i \delta \phi^i, \quad \{Q, \phi^j\} = \delta \phi^j.$$

In quantum theory:

$$[Q, \phi^j] = -i\delta \phi^j.$$

A finite transformation is

$$\phi \rightarrow U^{-1} \phi U, \quad U = \exp(-itQ).$$

In relativistic field theory, we are interested in translations and Lorentz transformations. Together they form Poincare group:

$$x \rightarrow \Lambda x + a.$$

Generators of translations are momenta  $P_\mu = \int d^3x T_\mu^0$ . Lorentz transformations act by

$$\phi'(x) = \phi(\Lambda^{-1}x).$$

Infinitesimal transformation  $\Lambda = 1 + \omega$  gives

$$\delta \phi = \frac{1}{2} \omega_{\mu\nu} (x^\mu \partial^\nu - x^\nu \partial^\mu) \phi.$$

We can achieve this by letting

$$M^{\mu\nu} = \int d^3x (x^\mu T^{0\nu} - x^\nu T^{0\mu}).$$

This suggests that the conserved current for the Lorentz transformations is

$$L^{\rho\mu\nu} = x^\mu T^{\rho\nu} - x^\nu T^{\rho\mu}.$$

It is conserved because  $T^{\mu\nu} = T^{\nu\mu}$ .

It is interesting to compute Poisson brackets or commutator of all these generators. For example:

$$[M^{\mu\nu}, M^{\rho\sigma}] = i(g^{\mu\rho}M^{\nu\sigma} - (\mu \leftrightarrow \nu)) - (\rho \leftrightarrow \sigma).$$

This algebra characterizes infinitesimal Lorenz transformations. Infinitesimal rotations are

$$J_i = \frac{1}{2}\epsilon_{ijk}M^{jk},$$

infinitesimal boosts are  $K_i = M^{i0}$ . In terms of  $J$  and  $K$  we have

$$[J_i, J_j] = i\epsilon_{ijk}J_k, \quad [J_i, K_j] = i\epsilon_{ijk}K_j, \quad [K_i, K_j] = -i\epsilon_{ijk}J_k.$$

The other commutators are

$$[P^\mu, M^{\rho\sigma}] = i(g^{\mu\sigma}P^\rho - (\rho \leftrightarrow \sigma)).$$

## 4 Wick theorem

Observables are polynomial functions of  $\phi$  and its derivatives. Need an efficient way to evaluate vacuum expectation values of such observables.

Wick theorem helps us do this. Let  $f_\alpha$ ,  $\alpha = 1, \dots, N$  be a linear function of  $a_i, a_i^\dagger$ , where  $i$  is either a discrete or continuous index. Then

$$\langle 0|f_1 \dots f_N|0\rangle = \frac{\partial}{\partial \lambda_1} \dots \frac{\partial}{\partial \lambda_N} \Big|_{\lambda_\alpha=0} \exp\left(\frac{1}{2} \sum_{\alpha\beta} \lambda_\alpha \lambda_\beta \Delta_{\alpha\beta}\right),$$

where

$$\Delta_{\alpha\beta} = \langle 0|f_\alpha f_\beta|0\rangle.$$

This can be written in terms of "pairings" of  $f_1, \dots, f_N$ . Note that the vacuum expectation value vanishes if  $N$  is odd.

Proof proceeds as follows. First evaluate

$$\langle 0|\exp\left(\sum_i (\lambda_i a_i + \bar{\lambda}_i a_i^\dagger)\right)|0\rangle = \exp\left(\frac{1}{2} \sum_i \bar{\lambda}_i \lambda_i\right).$$

This follows from the Baker-Campbell-Hausdorff formula. Then re-express the r.h.s. in terms of expectation values.

## 5 The spin-statistics relation

Let us compute the commutator of  $\phi(x)$  and  $\phi(y)$  (in the real case). It vanishes outside the light-cone.

$$[\phi(x), \phi(y)] = \int \frac{d^3k}{(2\pi)^3 2\omega(k)} (e^{ik(x-y)} - e^{-ik(x-y)}).$$

Clearly Lorentz-invariant, so is a function only of  $(x-y)^2$  and maybe  $x^0 - y^0$  (if  $(x-y)^2 < 0$ ).

Now suppose the separation is space-like. Can make  $(x-y)$  purely spatial. Then the integrand is odd, and the commutator vanishes. For a time-like separation one gets something nonzero.

What if we tried to declare  $a$  and  $a^\dagger$  fermionic oscillators instead? Would get cos instead of sin, so the anti-commutator would be non-vanishing. (The commutator would be even worse).

## 6 Scattering theory

First:

$$\int d^3x e^{-ikx} \phi(x) = \frac{1}{2\omega} a(\mathbf{k}) + \frac{1}{2\omega} e^{2i\omega t} a^\dagger(-\mathbf{k}),$$

$$\int d^3x e^{-ikx} \partial_0 \phi = -\frac{i}{2} a(\mathbf{k}) + \frac{i}{2} e^{2i\omega t} a^\dagger(-\mathbf{k}).$$

Hence

$$a(\mathbf{k}) = \int d^3x e^{-ikx} (i\partial_0 \phi + \omega \phi) = i \int d^3x e^{-ikx} \overleftrightarrow{\partial}_0 \phi.$$