

Schwarz inequality:  $|\langle \psi | \psi \rangle| \leq \|\psi\| \|\psi\|$

Proof: use completeness

$$\hat{I} = \sum_i |e_i\rangle \langle e_i| \Rightarrow |\psi\rangle = \sum_i |e_i\rangle \langle e_i | \psi \rangle$$
$$\Rightarrow \|\psi\|^2 = \langle \psi | \psi \rangle = \sum_i |\langle e_i | \psi \rangle|^2 \geq |\langle e_i | \psi \rangle|^2$$

If  $|\psi\rangle = 0$  then Schwarz inequality is trivial.

If  $|\psi\rangle \neq 0$ , choose  $|e_i\rangle = \frac{|\psi\rangle}{\|\psi\|}$

$$\Rightarrow \|\psi\|^2 \geq \frac{|\langle \psi | \psi \rangle|^2}{\|\psi\|^2} \Rightarrow \|\psi\| \|\psi\| \geq |\langle \psi | \psi \rangle|$$

Variance of observable  $\hat{A}$  in state  $|\psi\rangle$  is

$$(\Delta A)^2 = \langle (\hat{A} - \langle \hat{A} \rangle)^2 \rangle = \langle \psi | (\hat{A} - \langle \hat{A} \rangle)^2 | \psi \rangle = \|(\hat{A} - \langle \hat{A} \rangle) |\psi\rangle\|^2$$

( $\hat{A}$  is Hermitian).

Schwarz inequality  $\Rightarrow$

$$(\Delta A)^2 (\Delta B)^2 = \|(\hat{A} - \langle \hat{A} \rangle) |\psi\rangle\|^2 \|(\hat{B} - \langle \hat{B} \rangle) |\psi\rangle\|^2$$
$$\geq |\langle \psi | (\hat{A} - \langle \hat{A} \rangle) (\hat{B} - \langle \hat{B} \rangle) | \psi \rangle|^2$$

$$\text{Let } \hat{C} = \hat{A} - \langle \hat{A} \rangle, \quad \hat{D} = \hat{B} - \langle \hat{B} \rangle \Rightarrow$$

$$\hat{C} \hat{D} = \hat{E} + i \hat{F}, \text{ where}$$

$$\hat{E} = \frac{1}{2} (\hat{C} \hat{D} + \hat{D} \hat{C}) \quad \hat{F} = \frac{-i}{2} (\hat{C} \hat{D} - \hat{D} \hat{C})$$

$\hat{C}$  and  $\hat{D}$  are Hermitian  $\Rightarrow \hat{E}$  and  $\hat{F}$  are Hermitian,

because  $(\hat{C} \hat{D})^\dagger = \hat{D}^\dagger \hat{C}^\dagger = \hat{D} \hat{C}$

Therefore  $\langle \psi | \hat{E} | \psi \rangle$  and  $\langle \psi | \hat{F} | \psi \rangle$  are both real numbers

$$\Rightarrow |\langle \psi | \hat{C} \hat{D} | \psi \rangle|^2 = \langle \psi | \hat{E} | \psi \rangle^2 + \langle \psi | \hat{F} | \psi \rangle^2 \geq \langle \psi | \hat{F} | \psi \rangle^2 = \frac{1}{4} |\langle \psi | [\hat{C}, \hat{D}] | \psi \rangle|^2$$

$$\hat{C} = \hat{A} - \langle \hat{A} \rangle, \quad \hat{D} = \hat{B} - \langle \hat{B} \rangle \Rightarrow [\hat{C}, \hat{D}] = [\hat{A}, \hat{B}]$$

Finally, we have derived (taking positive square roots):

Uncertainty Principle:

$$\Delta A \Delta B \geq \frac{1}{2} |\langle \psi | [\hat{A}, \hat{B}] | \psi \rangle|$$

For position and momentum:

$$[\hat{x}, \hat{p}] = i\hbar \hat{I} \Rightarrow \Delta x \Delta p \geq \frac{1}{2} \hbar$$

or in terms of wave number  $\hat{k}$ , where  $\hat{p} = \hbar \hat{k}$ :

$$\Delta x \Delta k \geq \frac{1}{2}$$

Under what conditions does this uncertainty inequality become an equality?

Schwarz inequality becomes an equality for  $|\langle \psi | \psi \rangle| = \|\psi\| \|\psi\|$

or  $|\psi\rangle = \lambda |\psi\rangle$  ( $|\psi\rangle$  and  $|\psi\rangle$  are parallel vectors).

This condition becomes  $\hat{C}|\psi\rangle = \lambda \hat{D}|\psi\rangle$  ( $\lambda \in \mathbb{C}$ ) in the derivation above.

$$\text{Also } \langle \psi | \hat{E} | \psi \rangle^2 + \langle \psi | \hat{F} | \psi \rangle^2 \geq \langle \psi | \hat{F} | \psi \rangle^2$$

becomes an equality if  $0 = \langle \psi | \hat{E} | \psi \rangle = \frac{1}{2} \langle \psi | \hat{C} \hat{D} + \hat{D} \hat{C} | \psi \rangle$

Substituting  $\hat{C}|\psi\rangle = \lambda \hat{D}|\psi\rangle$  into this equation yields

$$0 = \frac{1}{2} (\lambda + \lambda^*) \langle \psi | \hat{D}^2 | \psi \rangle = \text{Re}(\lambda) \|\hat{D}|\psi\rangle\|^2$$

Therefore, either  $\text{Re}(\lambda) = 0$  or  $\hat{D}|\psi\rangle = 0$

But --  $\hat{D}|\psi\rangle = 0$  and  $\hat{C}|\psi\rangle = \lambda \hat{D}|\psi\rangle \Rightarrow \hat{C}|\psi\rangle = 0$

In that case  $\hat{A}|\psi\rangle = \langle \hat{A} \rangle |\psi\rangle$ ,  $\hat{B}|\psi\rangle = \langle \hat{B} \rangle |\psi\rangle$ ;

thus  $|\psi\rangle$  is a simultaneous eigenstate of  $\hat{A}$  and  $\hat{B}$ .

otherwise  $\lambda$  is imaginary  $\Rightarrow$  we write  $\lambda = -i\gamma$   
where  $\gamma$  is real

$$\Rightarrow \hat{C}|\psi\rangle = -i\gamma \hat{D}|\psi\rangle \Rightarrow (\hat{C} + i\gamma \hat{D})|\psi\rangle = 0$$

$$\Rightarrow (\hat{A} + i\gamma \hat{B})|\psi\rangle = \langle \hat{A} + i\gamma \hat{B} \rangle |\psi\rangle.$$

Thus  $|\psi\rangle$  is an eigenvector of the (non-Hermitian) operator  $\hat{A} + i\gamma \hat{B}$ .

Of course, this statement is also true if  $|\psi\rangle$  is a simultaneous eigenstate of  $\hat{A}$  and  $\hat{B}$ . We conclude that:

Condition for equality in uncertainty relation:

$$\Delta A \Delta B = \frac{1}{2} \langle \psi | [\hat{A}, \hat{B}] | \psi \rangle \text{ if and only if}$$

$|\psi\rangle$  is an eigenstate of  $\hat{A} + i\gamma \hat{B}$  for some real number  $\gamma$ .