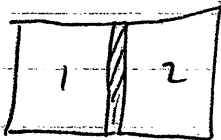


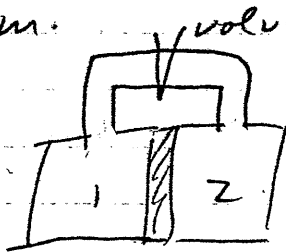
## 5. Chemical Potential and Gibbs Distribution

Recall: chapter 5

(= "chemical" potential concept very useful in discussing e.g. how chemical reaction rates depend on concentrations, etc. But concept is much more general, and not particularly "chemical" = "Potential" - in sense of potential energy.)



Recall: concept of temperature is useful if we consider two systems brought into thermal contact - allowed to exchange energy. Then combined system seeks most probable configuration, and so energy (heat) flows from hot system to cold system.



Now generalize this idea. Suppose 1, 2 are already in thermal equilibrium.

$$\textcircled{1} \quad \tau, V_1, N_1$$

$$\textcircled{2} \quad \tau, V_2, N_2$$

Now bring  $\textcircled{1}$  and  $\textcircled{2}$  into diffusive contact open a valve so that they are connected by a permeable membrane. Now particles can flow from  $\textcircled{1}$  to  $\textcircled{2}$ , so

$N_1$  and  $N_2$  can change, but with  $N = N_1 + N_2$  held fixed (conservation of total number of particles) so combined system will seek most probable configuration by dividing  $N$  between ① and ② in optimal way (and fluctuations  $\delta N_1/N_1$ ,  $\delta N_2/N_2$  are small for a large value of  $N$ )

chemical potential governs flow of particles as temperature governs flow of heat. In diffusive equilibrium,  $\mu_1 = \mu_2$ . When the valve opens, particles flow from higher chem. potential to lower chem. potential.

Recall that a system in thermal contact with reservoir (temperature  $\tau$ ) seeks most probable configuration by minimizing

$$F = U - \tau S$$

(minimizing  $F$  determines optimal way of dividing available energy between system and reservoir)

Combined system

$$F = F_1(\tau, V_1, N_1) + F_2(\tau, V_2, N_2)$$

Most probable configuration minimizes total  $F$

$$dF = 0 = \left(\frac{\partial F_1}{\partial N_1}\right)_{\tau, V_1} dN_1 + \left(\frac{\partial F_2}{\partial N_2}\right)_{\tau, V_2} dN_2$$

and  $dN_2 = -dN_1 \Rightarrow$

$$\left(\frac{\partial F_1}{\partial N_1}\right)_{T, V_1} = \left(\frac{\partial F_2}{\partial N_2}\right)_{T, V_2}$$

in diffusive equilibrium. So we define

chemical potential

$$\mu = \left(\frac{\partial F}{\partial N}\right)_{T, V}$$

and condition for diffusive equilibrium is

$$\mu_1 = \mu_2$$

If  $\mu_1 \neq \mu_2$  and valve is opened, particles will flow. Which way?

$$dF = \mu_1 dN_1 + \mu_2 dN_2 = (\mu_1 - \mu_2) dN_1$$

So, to lower  $F$ , flow is from higher to lower chem. potential

Example: Ideal Gas (in classical regime)

Recall (chapter 3)

$$e^{-F/kT} = Z_N = \frac{1}{N!} (Z_1)^N \quad \text{where } Z_1 = n_Q V, \text{ and}$$

$$n_Q = \left(\frac{m\tilde{v}}{2\pi\hbar^2}\right)^{3/2}$$

Chemical potential is cost in

free energy of adding one more

particle.

( $N$  is really discrete, but can be treated as continuous for  $N \gg 1$ .)

= "quantum concentration"

So  $F = -\tau \ln Z_N = -\tau (N \log Z_1 - \log N!)$

$\mu(\tau, V, N) = F(\tau, V, N) - F(\tau, V, N-1)$   
 $= -\tau [\log Z_1 - \log \frac{N!}{(N-1)!}] = \tau \log(N/Z_1)$

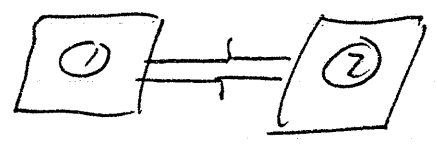
and  $Z_1 = n_Q V \Rightarrow \mu = \tau \log(n/n_Q)$

We may also use ideal gas law (chapter 3)

$pV = N\tau$  or  $p = n\tau$

$\Rightarrow \mu = \tau \log(p/p_Q)$

So  $\mu$  increases as  $n$  or  $p$  increase at fixed  $\tau$ . That makes sense.



Ideal gas will flow from high to low concentration (or pressure)

For  $n < n_Q$ ,  $\mu < 0$ . This means energy cost of adding a particle is more than compensated by entropy gain

For  $n > n_Q$ ,  $\mu > 0$ . This means ~~that~~ energy cost is more important

Caution: this formula is not correct for a high density gas!

Thermodynamic Identity

We define  $\mu$  by  $\mu \equiv (\frac{\partial F}{\partial N})_{\tau, V}$ ,  $F = F(\tau, V, N)$

We can also relate  $\mu$  directly to entropy  $S(U, V, N)$

5.5

$$dS = \left(\frac{\partial S}{\partial U}\right)_{V,N} dU + \left(\frac{\partial S}{\partial V}\right)_{U,N} dV + \left(\frac{\partial S}{\partial N}\right)_{U,V} dN$$

Thus

$$\left(\frac{\partial S}{\partial N}\right)_{\tau,V} = \left(\frac{\partial S}{\partial U}\right)_{V,N} \left(\frac{\partial U}{\partial N}\right)_{\tau,V} + \left(\frac{\partial S}{\partial N}\right)_{U,V}$$

$$\Rightarrow \left(\frac{\partial S}{\partial N}\right)_{U,V} = \left(\frac{\partial S}{\partial N}\right)_{\tau,V} - \frac{1}{\tau} \left(\frac{\partial U}{\partial N}\right)_{\tau,V}$$

But  $F = U - \tau S$

$$\Rightarrow \left(\frac{\partial F}{\partial N}\right)_{\tau,V} = \left(\frac{\partial U}{\partial N}\right)_{\tau,V} - \tau \left(\frac{\partial S}{\partial N}\right)_{\tau,V} = -\tau \left(\frac{\partial S}{\partial N}\right)_{U,V}$$

thus -  $\boxed{\left(\frac{\partial S}{\partial N}\right)_{U,V} = -\mu/\tau}$  (an alternative def. of  $\mu$ )

Now we have

$$dS = \frac{1}{\tau} dU + \frac{p}{\tau} dV - \frac{\mu}{\tau} dN$$

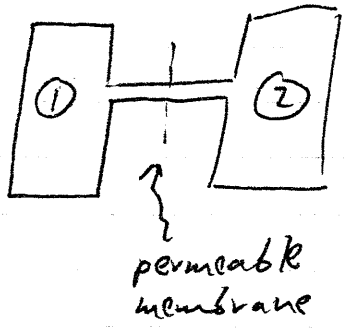
or  $\boxed{dU = \tau dS - p dV + \mu dN}$

"thermodynamic identity" satisfied by  $U(S, V, N)$

i.e.  $\mu = \left(\frac{\partial U}{\partial N}\right)_{S,V}$  is yet another expression for  $\mu$

# Internal and External Chemical Potential

(This distinction is the key to most applications of chemical potential.)

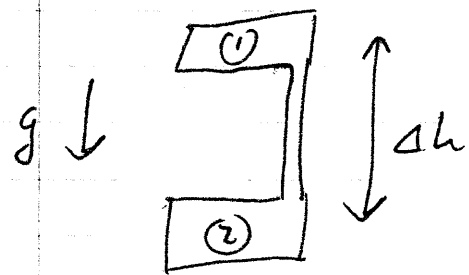


Recall that  
 $d(F_1 + F_2) = (\mu_1 - \mu_2) dN_1$   
 (with  $\tau_1 = \tau_2, V_1, V_2$  fixed)

So  $\mu_1 - \mu_2$  gives the cost in free energy of taking one particle from ① and putting it in ②

Thus  $\mu_1 = \mu_2$  in diffusive equilibrium.

We will want to consider situation in which "external" conditions on ① and ② are different



E.g. at different heights in earth's gravitational field ideal gases will then be at unequal pressure and density

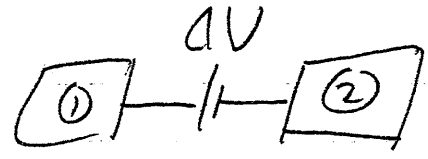
We have  $U = U_{\text{ext}} + U_{\text{int}}$   
 (with  $\uparrow$  grav. pot. energy and  $\sim$  kinetic energy)

$$F = U - \tau \zeta$$

$$\Rightarrow \mu_1 - \mu_2 = \Delta \mu_{\text{total}} = \Delta \mu_{\text{int}} + \Delta \mu_{\text{ext}} \quad \text{"mg} \Delta h$$

More generally  $\mu_{\text{ext}} = \text{pot. energy cost due to ext. influence}$   
 $\mu_{\text{int}} = \text{free energy cost in absence of ext. influence}$

Another example:



electron gases in two metals, maintained at pot. difference  $\Delta U$  by a battery  $\Rightarrow$

$$\mu_{ext} = e \Delta U$$

$\sim$  (electron charge)

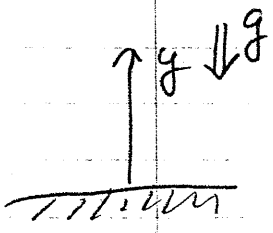
So  $\Delta \mu_{total} = 0 \Rightarrow \boxed{\Delta \mu_{int} = -\Delta \mu_{ext}}$

-in thermal and diffusive equilibrium.

### Isobaric Model of Atmosphere

Suppose atmosphere is an ideal gas (good approx) with  $\tau$  independent of height (not so good)

[How hard to breathe at top Mt. Everest?]



$$\mu = \mu_{ideal} + mgy$$

$$= \tau \log\left(\frac{y n_0}{\lambda}\right) + mgy$$

$\tau$  (internal)

(external)

Diffusive equilibrium  $\Rightarrow \mu = y$ -independent

or  $\frac{d\mu}{dy} = 0$  determines  $n(y)$

or just solve  $\mu = \mu_0 = \text{constant}$   
 $\tau \log\left(\frac{y n_0}{\lambda}\right) = -mgy + \text{const}$

$$= \tau/n \frac{dn}{dy} + mg \Rightarrow \frac{dn}{n} = -\frac{mg}{\tau} dy$$

(Heavier atoms fall off faster, lighter ones slower)

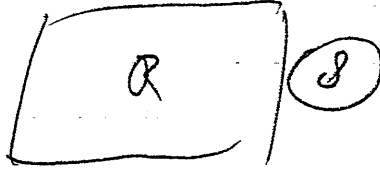
$$\Rightarrow \boxed{n(y) = n(0) e^{-(mg/\tau)y}}$$

This  $e^{-mgy/\tau}$  behavior is easy to anticipate, just the Boltzmann factor when we compare states for a single atom.

$\frac{mg}{\tau} y = \left(\frac{3000 \text{ kg}}{7} \frac{y}{1 \text{ km}}\right) \frac{1}{8.0} \frac{\text{Mt Everest } 8700 \text{ m}}{\tau}$

$\sim$  falls off by  $\frac{1}{2}$  at  $0.1 \text{ km}$

## Gibbs factor and Gibbs sum

Recall:  For small system in thermal contact with large reservoir

Boltzmann factor  $P(\epsilon) \propto e^{-\epsilon/T}$   
gives probability of occupancy of given microscopic state of  $S$ .

Now: generalize to system in thermal and diffusive contact with reservoir. Same logic as before

$$\frac{P(N_1, \epsilon_1)}{P(N_2, \epsilon_2)} = \frac{g_R(N_0 - N_1, U_0 - \epsilon_1)}{g_R(N_0 - N_2, U_0 - \epsilon_2)}$$

Here  $g$  is multiplicity of  $R$ ,  $N_0, U_0$  total number, energy shared by  $S$  and  $R$

$$= \exp \left[ \sigma_R(N_0 - N_1, U_0 - \epsilon_1) - \sigma_R(N_0 - N_2, U_0 - \epsilon_2) \right]$$

$$\approx \exp \left[ -(N_1 - N_2) \left( \frac{\partial \sigma}{\partial N} \right)_U - (\epsilon_1 - \epsilon_2) \left( \frac{\partial \sigma}{\partial U} \right)_N \right]$$

Here  $\sigma$  is entropy of reservoir. We expand  $\log g$  because  $\mu, T$  of reservoir are equal to those of system in thermal and diffusive equilibrium, and can be taken to be constant for a sufficiently large reservoir.

$$= \frac{\exp \left[ (N_1 \mu - \epsilon_1) / T \right]}{\exp \left[ (N_2 \mu - \epsilon_2) / T \right]} \quad \text{Gibbs factor}$$



We normalize this distribution by dividing by the Gibbs sum of the system

$$Z = \sum_{states} \exp[(N\mu - E_s)/\tau]$$

and  $P(N, E) = \frac{1}{Z} \exp(N\mu - E)/\tau$

Then  $\sum_s P(s) = 1$

and expectation values are  $\langle X \rangle = \sum_s X(s) P(s)$

E.g.  $\langle N \rangle = \frac{1}{Z} \sum N e^{(N\mu - E)/\tau} = \tau^{-1} \left( \frac{\partial}{\partial \mu} Z \right)_{\tau}$   
 $= \tau \left( \frac{\partial}{\partial \mu} \ln Z \right)_{\tau}$

(Analogous to  $\bar{U} = \tau^{-2} \frac{\partial}{\partial \tau} \log Z$  - indeed

$$\bar{U} = \langle E \rangle = \tau^2 \left( \frac{\partial}{\partial \tau} \log Z \right)_{\mu}$$

- we may adjust  $\mu$  to get desired  $N$ . If  $\tau$  is large - fluctuations in  $N$  will be small

Example: Impurity in a semiconductor

When we put impurity atom in semiconductor, will it want to ionize - giving up electron to conduction "band" of semiconductor

this is not mere energetics controlled by ionization potential  $I$  - for whether atom wants to ionize depends on how many electrons are already in conduction band

May think of impurity atom as a (small) system in equilibrium (thermal and diffusive) with large reservoir. (Can exchange energy and electrons with semiconductor)

States of atom:

ionized	$E=0$	$N=0$
neutral, ↑	$E=-I$	$N=1$
neutral, ↓	$E=-I$	$N=1$

Gibbs sum:

$$Z = 1 + 2e^{(\mu+I)/\tau}$$

Gibbs factor:

$$P_{ionized} = P(N=0, t=0) = \frac{1}{Z} = \frac{1}{1 + 2\exp[(\mu+I)/\tau]}$$

Limits:

$$\tau \rightarrow \infty \Rightarrow P_{ion} = \frac{1}{3}$$

all 3 states equally likely

$$\tau \rightarrow 0 \Rightarrow P_{ion} = 0$$

(no ionization) (if  $\mu+I > 0$ )

chemical potential  $\mu$  characterizes availability of conduction electrons

$\mu$  large + positive: many electrons already in band  
 $P_{ion} \rightarrow 0$

$\mu$  large and negative: no electrons  
 $P_{ion} \rightarrow 1$  (many places for electron to go.)

e.g.

Further idealization: conduction band is an ideal gas, with

$$\mu = \tau \log(n/n_Q) \quad e^{\mu/\tau} = \frac{n}{n_Q}$$

then 
$$P_{ion} = \frac{1}{1 + 2 \frac{n}{n_Q} e^{\mu/\tau}}$$

$$n \rightarrow 0 \Rightarrow P_{ion} = 1$$

$$\frac{n}{n_Q} \rightarrow \infty \Rightarrow P_{ion} = 0 \quad (\text{Caution: not the right formula at high density})$$

In practice, semiconductors have  $n/n_Q \ll 1$ , and impurities tend to ionize ("doped semiconductor" - e.g. P in Si)